EFFECTS OF ACOUSTIC POWER AND pH ON PECTINS-

ENRICHED EXTRACTS OBTAINED FROM CITRUS BY-

PRODUCTS. MODELLING OF THE EXTRACTION PROCESS

- 4 Running Title: Extraction of pectin from citrus by-products with ultrasonic assistance
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15 ABSTRACT

BACKGROUND: The ultrasound-assisted extraction of pectins from orange by-products was
investigated. Kinetics of mechanical agitation (0.2 xg) and acoustic (US1: 542 and US2: 794 W/L)
extractions, were obtained and modelled at different pH values (1.5 and 2.0). All extractions were
carried out at 25 °C, using citric acid as the extraction solvent.
RESULTS: Higher pectin extraction yields were obtained with ultrasonic assistance, in
comparison with the results obtained using mechanical agitation. Moreover, yield increases were
significantly higher using the more acidic pH. Thus, at pH 1.5, pectin yield increased from ~19%,
obtained with agitation, to ~47%, applying ultrasounds; whereas, at pH 2.0, this increase was
from $\sim 10\%$, with agitation, to $\sim 18\%$, applying ultrasounds. A considerable decrease of the
galacturonic acid content was observed; when ultrasounds were applied for 60 min under pH 2.0.
High methoxyl pectins were extracted at pH 1.5 whereas at pH 2.0, pectins exhibited a low
methylation degree. Curves of acoustic and mechanical agitation extractions were properly
represented by a second order rate model (average mean relative error \leq 7.4%). The extraction
rate constant, initial extraction rate and maximum yield were determined for all experimental
conditions.
CONCLUSION: Overall, the results clearly indicated that the effect of ultrasounds was highly
dependent on the pH. Therefore, adequate acidic conditions must be applied in order to improve
the efficiency of ultrasounds on the pectin extraction process.
KEYWORDS
Pectin; Ultrasound-assisted extraction; Extraction kinetics; Degree of methylation; Carbohydrate
composition
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ABBREVIATIONS

AG Mechanical agitation extraction

Ara Arabinose

CI Confidence intervals (95%)

Cp Specific heat capacity of the solvent (J/kg K)

dm Dry basis

DM Degree of methylation

Gal Galactose

GalA Galacturonic Acid

Glc Glucose

h Initial extraction rate (% min⁻¹)

HG HomogalacturonansHMP High methoxyl pectins

k Extraction rate constant (%-1 min-1).

LMP Low methoxyl pectins

m mass (kg) Man Mannose

MRE % Mean relative error (%)
n Number of samples
P Ultrasounds power (W)
PI Prediction limits (95%)

R ratio of A_{1740} over the sum of A_{1740} and A_{1630}

RGI Rhamnogalacturonan I RGII Rhamnogalacturonan II

Rha Rhamnose

 S_{calc} Standard deviation of the calculated values S_{exp} Standard deviation of the experimental values

T Temperature

t Time

UA Uronic acids

UAE Ultrasound-assisted extraction

US Power Ultrasounds

Ultrasound-assisted extraction P= 542 ± 4

US1 W/L

Ultrasound-assisted extraction $P = 794 \pm 4$

US2 W/L

VAR % Percentage of explained variance (%)

Xyl Xylose

Y Yield of extraction (%)

 $\begin{array}{ll} Y_0 & \text{Initial yield of extraction (\%)} \\ Y_{\text{calc}} & \text{Calculated yield of extraction (\%)} \\ Y_{\text{exp}} & \text{Experimental yield of extraction (\%)} \end{array}$

Ymax Maximum yield of extraction (%)

INTRODUCTION

Recently, there has been an increasing interest in re-valuing the waste generated by the food
industry, for both economical and environmental reasons. ¹ As an example, around 70% of the
worldwide production of oranges is intended for the juice industry generating about 50% by
weight of residues, mainly composed of skins (flavedo and albedo), pulp, and seeds. ^{2,3} These by-
products are rich in phenolic compounds, essential oils, pigments and, in particular, cell wall
polysaccharides such as pectins, hemicelluloses and cellulose. ^{4–6}
Polysaccharides have aroused considerable attention for their unique bioactivities and chemical
structures. ^{7,8} Pectins are probably one of the most important types of cell wall polymers, being
widely used as gelling agents and stabilizers in a wide variety of food, pharmaceutical, and
cosmetic formulations. 9 Pectins are a complex mixture of heteropolysaccharides, predominantly
formed by homogalacturonans (HG), a polymer largely composed of a backbone of linked D-
galacturonic residues (GalA). 10 A second well-characterised component of pectins is the
rhamnogalacturonan I (RGI). In this type of polymer, the backbone is formed by GalA units
interspersed with L-rhamnose (Rha) residues which are linked to side-chains of neutral sugars
such as arabinose (Ara) and galactose (Gal). 11,12 Pectin extracts can also contain
xylogalacturonan, and rhamnogalacturonan II (RGII), a highly complex branched structure
occurring with much less frequency than RGI. ¹³
Most conventional methods applied for pectin extraction involve the use of mineral acids such as
HCl, HNO ₃ or H ₂ SO ₄ , at pHs comprising between 1.5 and 3.0. ¹⁴ Further, these processes are
regularly carried out under high temperature conditions (between 60 and 100 °C) and long
extraction times (from 0.5 to 7 h). ^{9,15} However, only limited pectin yields are usually obtained
using these traditional procedures. Further, at industrial levels, the use of mineral acids and their
effluents may well cause serious environmental problems, representing an excessive cost for food
companies. Therefore, the substitution of these mineral acids by organic acids such as citric acid
has been investigated obtaining similar or even higher yields ¹⁶ On the other hand the use of

elevated temperatures may promote the non-enzymatic degradation of pectins, which may affect their functional properties. Therefore, new technologies such as microwave assisted extraction, ^{17,18} moderate electric fields ⁹ and ultrasounds assisted extraction ¹⁹ have been proposed, to improve these conventional processes.

Power ultrasounds (US) are characterized by their ability to improve mass transfer processes through a series of mechanisms activated by the non-linear effects of high amplitude ultrasonic waves. ²⁰ It is well accepted that the enhancement of extraction yields promoted by US could mainly be attributed to ultrasonic cavitation. This phenomenon consists in the formation, growth and implosion of gas nano/microbubbles into the liquid as a consequence of pressure fluctuations generated by the ultrasonic waves. ²¹ In recent years there has been an increasing interest in the use of US to intensify extraction procedures of pectins from different plant materials. ^{14,19,22} These studies agree that US could be a viable alternative for the extraction of pectins. However, it is important to determine the effect of US application not only on the yields but also on the characteristics of extracted pectins.

In recent decades, from a food engineering point of view, mathematical modeling has been widely used since it may provide a quick and inexpensive assessment of the main effects of the different experimental conditions on the outcome of the extraction procedure. ²³ To the best of our knowledge, there are no studies based on the modelization of pectin extraction kinetics obtained by US application, using mild conditions; in particular the use of organic acids, and relatively low temperatures (below 30 °C).

Within this context, the main aim of the present research was to investigate the effect of both pH and power ultrasound on the extraction of pectins from orange by-products. Thus, extraction yields and chemical characteristics such as the carbohydrate composition and the degree of methylation were determined. Furthermore, a mathematical model to simulate the extraction kinetics of both acoustic and agitation experiences was proposed.

MATERIALS AND METHODS

Samples preparation

Oranges of the *Navelina* variety, were purchased in a local market. Oranges whose juice had a soluble solids content of 11.0 ± 0.5 °Brix were selected for the study. After extracting the juice, the remaining material (by-product) was scalded to inactivate the endogenous enzymes, lyophilized, ground and sieved (to a particle size between 0.355 and 0.710 mm). The moisture content of the by-product was determined by AOAC method no. 934.06 ²⁴ in triplicate (647 \pm 5 g/Kg). Finally, the lyophilized by-product was vacuum-packed and stored, protected from light and kept under refrigeration (4 °C).

Extraction procedure

Extractions were performed in a vessel with a double glass layer (capacity of 250 mL). The temperature was maintained at 25 ± 1 °C in a thermostatic bath by driving cooling water through a jacketed extraction vessel (Selecta, Tectron Bio, Spain). In the case of UAE, it was necessary to replace the water by a refrigerant liquid (ethylene glycol) and set the bath at 15 °C in order to maintain the temperature at 25 °C.

Both, mechanical agitation extraction (AG) and ultrasound-assisted extraction (UAE) procedures were carried out at pH 1.5 and 2.0, these values were chosen since previous studies have demonstrated that high extraction yields of pectin can be obtained within this pH range. ^{25–27} Thus, citric acid solutions (0.5 mol/L and 0.05 mol/L for pH 1.50±0.01 and 2.0±0.01 respectively) were prepared and adjusted to the desired pH using only citric acid. Approximately 3 g of by-product were added to 100 mL of the citric acid solution. Individual experiments at different extraction times (3, 6, 10, 20, 30, 40, 50 and 60 min) were carried out for each experimental condition. All experiments were performed in triplicate.

Mechanical agitation extractions (AG)

- AG were performed using a stirrer (RZR 2021, Heidolph, Germany) equipped with a 4-blade
- propeller (50 mm diameter, 0.2 xg) placed at 2.8 cm from the liquid interface (Figure 1).

Ultrasound-assisted extractions (UAE)

- 120 UAE were carried out using an ultrasonic generator UP400S (Hielscher Ultrasonics GmbH,
- Germany), with a power of 400 W and an ultrasonic frequency of 24 kHz. Two titanium probes
- (Hielscher Ultrasonics GmbH, Germany) with tip diameters of 40 mm (US1) and 14 mm (US2)
- were used in order to test different acoustic densities. The pulse and amplitude were adjusted to
- 124 50 and 100%, respectively. The probe was immersed in the soaking medium to a distance of 1.8
- cm from the liquid interface. The setup of the ultrasonic treatment has been depicted in Figure 1.
- Prior to UAE experiments, a calorimetric approach was applied to determine the effective
- 127 ultrasound power transferred into the medium by each probe. ²⁸ Thus, the ultrasounds power was
- measured in triplicate for the acoustic conditions applied using equation 1:

129 Eq. 1
$$P = \frac{dT}{dt} Cp m$$

- where P is the ultrasounds power (W), Cp is the heat capacity of the solvent (J/ kg K) and m is
- the mass of the solvent (kg). Citric acid was the solvent used in all experiments, in which Cp was
- calculated by differential scanning calorimetry (DSC 2920, TA Instruments, USA). Acoustic
- power densities (W/L) were calculated as the ratio between the ultrasounds power applied (P) and
- the total extraction volume: 542 ± 4 W/L (US1) and 794 ± 4 W/L (US2). On the other hand,
- acoustic intensities (W/cm²) were determined as the ratio between the ultrasounds power applied
- and the emitter surface of each probe system: 4.3 W/cm² (US1) and 51.6 W/cm² (US2).

Purification of extracts

- Acid extracts were filtered through a screen of ~0.5 mm mesh. Next, the filtrates were precipitated
- with ethanol 96 mL/100 mL (1:2, v/v) and stirred for 10 min. The mixtures were then centrifuged
- at 1252 xg for 30 min and the precipitates separated by filtration (#40 glass fiber) and rinsed with

acetone. Finally, extracts were dried at room temperature for 12 h and weighed. ²⁹ Extraction yields were calculated as g of extracted pectin by 100 g of by-product (%). The moisture of the by-product was considered in order to express all extraction yields on a dry matter (dm) basis.

Methods of analysis

In order to determine the main effects of US application, pH and extraction time on the physiochemical properties of the extracts, carbohydrate analysis and determination of the degree of methylation of pectins were carried out on extracts obtained after 30 and 60 min of extraction.

Alcohol insoluble residues (AIRS)

In order to determine the composition of the main types of cell wall polysaccharides present in the different extracts, AIRs were obtained by immersing the by-product samples in boiling ethanol.¹

Analysis of carbohydrate composition

Neutral sugars were released from cell wall polysaccharides by acid hydrolysis (Saeman hydrolysis). AIR samples (~5 mg) were dispersed in 12 mol/L H₂SO₄ for 3 h at room temperature. Then, dilution to 1 mol/L and incubation at 100 °C for 2.5 h was carried out in order to hydrolyze the samples. The released monosaccharides were transformed into their alditol acetates and separated by gas-liquid chromatography at 220 °C on 3% OV225 ChromosorbWHP100/120 mesh column (Hewlette Packard 5890A, Waldbronn, Germany) with Ar as the carrier gas flowing at 20 mL/min. Temperatures of injector and FID detector were 230 °C and 240 °C, respectively. ¹ Uronic acids (UA) were determined by colorimetry as total UA using a sample hydrolyzed for 1 h at 100 °C, in this investigation UA were considered as equivalent to Galacturonic Acid (GalA).

Moreover, in order to elucidate the main structural features of pectins, three molar ratios were calculated from the contents of GalA, Rhamnose (Rha), Galactose (Gal) and Arabinose (Ara). ¹⁵ The ratio of GalA/(Rha+Gal+Ara), was calculated to represent the linearity of extracted pectins;

the GalA/Rha ratio provided a global insight into the amount of RGI present in the sample, and the (Ara+Gal)/Rha ratio represented the approximate length of the RGI-type of pectins.

Determination of the degree of methylation (DM)

To determine the DM of pectins, extracts were pulverized and mixed with KBr (0.1 g of pulverized extract in 1 g of KBr) and pressed into a 2 mm pellet. FTIR spectra of these samples were recorded using a Bruker IFS66 instrument from 800 to 2000 cm⁻¹. The measuring resolution was 3 cm⁻¹. The resultant spectra were obtained using the OMNIC E.S.P. 5.1 software. DM was determined using the following equation: ³¹

Eq. 2

174 Eq. 2

175
$$DM = 124.7R + 2.2013$$

where R was calculated as the ratio of A_{1740} over the sum of A_{1740} and A_{1630} , being A_{1740} and A_{1630} the absorbance intensities of bands for methyl-esterified and non methyl-esterified carboxyl groups at 1740 cm⁻¹ and 1630 cm⁻¹, respectively. ³²

Mathematical modeling

A mathematical model was proposed with the aim of establishing a methodology to assess the mass transfer process during extraction of pectins from orange by-products (either with AG or UAE).

The extraction kinetics were mathematically described using a second order rate model. A second order rate model has been previously used to describe solid-liquid extraction process. ³³ Moreover, Patil et al. 34 used different models to simulate kinetics of extraction, with the application of ultrasounds, of camptothecin from *Nothapodytes nimmoniana*, reporting that with a second order rate model obtained the best fit to the experimental values. The second order rate equation can be described as follows:

Eq. 3

$$\frac{dY}{dt} = k(Ymax - Y)^2$$

where, Y was the extraction yield (%) at a specific time t, Ymax was the maximum extraction yield (%), t the extraction time (min) and k the extraction rate constant (% -1 min-1). The initial extraction rate defined as h (% min⁻¹) when t approaches 0, can be expressed as,

Eq. 4

$$195 h = kYmax^2$$

- In order to obtain the kinetic parameters Eq. 3 was integrated under the initial and boundary
- conditions t = 0 to t and Yt = 0 to Yt, respectively:
- Eq. 5

$$Y = \frac{k \, Y max^2 t}{1 + k \, Y max \, t}$$

- The linear form derived from equation (5) is,
- Eq. 6

$$\frac{t}{Y} = \frac{1}{kY max^2} + \frac{t}{Y max}$$

- Therefore, h and Ymax could be experimentally determined from the slope and intercept by
- plotting t/Y against t.

Statistical analysis

All the experimental results represent the mean value and standard deviation from at least three replicates. The Parametric ANOVA test was used to evaluate the existence of significant differences (p < 0.05) between means of experimental data obtained on pectin characterization (carbohydrate composition and DM) and means were compared by Tukey's test. The statistical analyses were replaced by Kruskal- Wallis and pairwise-Wilcox (BH corrected) when data were not normally distributed and/or showed heterogeneity of variances after the application of Shapiro and Levene tests. This study was carried out using the statistical package R (version 2.14.2, R Foundation for Statistical Computing, Austria).

Regarding the mathematical model, the lineal regression function of Microsoft Excel was used to calculate the confidence intervals (95%) of the parameters whereas the prediction limits (95%), were calculated by the function 'nlparci' from Matlab 7.5 (The MathWorks Inc., USA).

The average relative error (MRE %), given in Eq. 7, and the percentage of explained variance (VAR %), given in Eq. 8, estimated by the comparison of experimental and simulated data, were calculated to statistically evaluate the accuracy of the proposed mathematical models to simulate the extraction kinetics for both types of extraction procedures (AG and UAE).

Eq. 7

222
$$MRE \% = \frac{\sum_{i=1}^{n} \left(\frac{|Y_{exp} - Y_{calc}|}{Y_{exp}}\right)}{n} 100$$

Eq. 8

223 Eq. 8
$$VAR \% = \left(1 - \frac{S_{exp}^{2}}{S_{calc}^{2}}\right) 100$$

RESULTS AND DISCUSSION

Extraction yields

The experimental results corresponding to the extraction yields obtained at different pH, either using mechanical agitation (AG = 0.2 xg) or acoustic assistance (US1 = 542 W/L and US2 = 794 JW/L), are depicted in Figure 2.

As can be observed, higher extraction yields were obtained with UAE in comparison to those obtained by AG. Further, an increase in US power also promoted a significant increase in the extraction yield. In particular, at pH 1.5 and after 30 min of extraction, the acoustic extraction rates were 2.3 (US1) and 2.8-fold (US2) higher than in the conventional extraction. When US density power increases, larger amplitude waves are generated throughout the solvent, resulting in the formation of a considerable number of bubbles which may collapse, accelerating the mass transfer rate. 35

In general, these results are in agreement with several authors who have reported that US improve the extraction yield of pectins obtained from different plant tissues. For instance, Wang et al. 14 extracted pectins from grapefruit peel and reported that the yield obtained with US application (12.56 W/cm², 66 °C, for 28 min and pH 1.5) was~1.2-fold higher than the yield obtained using a conventional procedure (80 °C, for 90 min pH 1.5). Also, Wang et al.²² observed a ~1.3-fold higher pectin extraction yield from mango peel with US application (20 kHz and 500 W, 20 °C for 15 min and pH 2.5) in comparison with a conventional method (mechanical stirring, 20 °C, for 2 h and pH 2.5). Further, Bagherian et al., 36 who extracted pectin from grapefruit peel observed that the rate of ultrasonic extraction (power density was no specified) was more than 3 times faster than conventional extraction (for conventional extraction 90 °C for 90 min were used whereas for UAE a temperature range of 50-70 °C and different times of extraction from 4 to 30 min were applied, in both cases using pH of 1.5). These observations can be explained by the fact that the ultrasonic treatment enhances the swelling and softening processes of cell walls via the hydration of pectinous material from middle lamella, leading to the break-up of vegetal tissues ³⁷. However, in this study, extraction yields were strongly influenced by the pH of the solvent. Thus, either for UAE or AG, significantly (p < 0.05) higher extraction yields were obtained at pH 1.5 than at pH 2.0. This result is in agreement with the work of Levigne et al. 38 who obtained higher yields of pectins from sugar beet, by decreasing the pH from 3.0 to 1.0 in conventional extraction procedures (75 and 95 °C, for 30 and 90 min, extracting with HCl and HNO₃). ³⁸ According to Maran et al. 39, a high acidic solvent has the potential to contact directly with the insoluble form of pectins hydrolyzing it into a soluble form and allowing a more efficient extraction. Furthermore, the results obtained in this study clearly indicate that the pH affects the capacity of US to enhance the extraction yield of pectins. Thus, at pH 1.5 and after 30 min of extraction, US improved the yield from ~19% with AG, to ~39 and ~47% with US1 and US2, respectively. However, at pH 2.0, the yields could only be improved from \sim 10% with AG, to \sim 14 and \sim 18% with US1 and US2, respectively. Therefore, although it has been reported that US may improve

the pectin extraction yield, the extension of this increase seems to be clearly conditioned by the pH of the medium.

Regarding the time of extraction, the highest yield increases were observed during the first 30 min of extraction. Similar results were obtained by Maran *et al.* ³⁹ during the extraction of pectins from *Musa balbisiana* using ultrasonic assistance.

It is important to note that with the US application at pH 1.5, very high extraction yields were obtained after 60 min (\sim 63%); this suggests that not only pectins but also other components were extracted under these conditions.

Carbohydrate composition

In order to gain more insight into the pectin extraction process, extracts obtained after 30 and 60 min at different pH, either with mechanical agitation (AG = 0.2 xg) or with acoustic assistance

274 (US1 = 542 ± 4 and US2 = 794 ± 4 W/L) were submitted to carbohydrate analysis (Table 1).

The results suggested that pectic polysaccharides, as inferred from the presence of GalA, Rha, Gal, Ara and Xyl were the main constituents of the extracts, ranging from 71 to 87 mol% of total sugars. Moreover, other sugars such as Glucose (Glc) and Mannose (Man) were also detected, in fact, the amount of Glc was one of the largest among all the determined monomers. In general, the molar percentage of the different monomers was similar to the values reported by Wang *et al* ⁴⁰ and Wang *et al*. ¹⁴, who extracted pectins from citrus products using both a conventional method and also a UAE procedure (conventional method: 80 °C, for 1.5 h and pH 1.5; UAE: P= 410 W/L, at 67 °C for 28 min and pH 1.5).

The predominance of GalA, indicated that extracted pectins were mainly formed by homogalacturonans (HG). Therefore, the solution of citric acid, either at pH 1.5 or 2.0, seems to be selective for the extraction of this type of pectin chains. ¹⁹ However, the GalA content detected in this work ranged from 38.0 to 62.4 mol%, which, in general, is lower than the values reported in other studies that have extracted pectins from citrus materials (41.5-95.3 mol%). ⁴¹⁻⁴⁵ It has been observed that different extraction conditions can affect the GalA content. For instance,

Masmoudi *et al.* ⁴¹ extracted pectins from lemon by-products and reported that the GalA content increase from 41.5 to 74.5 mol% when changing the temperature from 40 to 80 °C (after 1 h of extraction at pH 2.8). Hosseine *et al.* ⁴³ extracted pectins from orange peel and also observed that as temperature increased, the GalA content also increased (from 57.0 to 73.0 mol% when temperature increased from 75 to 95 °C, after 30 min of extraction). In this study, the extraction was performed using a lower temperature (25 °C), and this could explain why pectins exhibited an overall lower GalA content than values reported by other authors. According to the FAO regulations ⁴⁶ industrial pectins contain about 65% of GalA (w/w), therefore a purification process of the extracts should be carried out to suit this standard.

The presence of minor amounts of Rha, Ara and Gal suggested that RGI-type pectin chains were also present in the different extracts. ¹² In fact, Ara was the predominant of these three sugars, which is coherent since Ara exhibited one of the highest molar percentages among the monomers of the orange by-products used as raw material. Methacanon *et al.* ⁴⁵ also observed relatively high amounts of this sugar on pectins extracted from pomelo (7.11-20.87 mol% extracting at 80 °C for 2 h). Small amounts of Xyl has also been observed, thus, it can be deduced that the extracts also contain xylogalacturonan, the presence of these polysaccharides has already been observed by Wang *et al.* ¹⁴ who extracted pectins from grapefruit peel.

The results clearly indicated that the effect of US application on extracted pectins was dependent not only on the pH but also on the time of extraction. GalA was the sugar type mostly affected by the application of US. Thus, after 60 min of extraction at pH 2.0, significantly lower content (p < 0.05) of GalA was observed with UAE than with AG; suggesting that the application of US under these conditions might have promoted an undesirable effect on the HG chain. Zhang *et al.* 47 , also reported a decrease in the GalA content (from 83.8 to 75.7 mol%) on citrus pectins which were submitted to sonication on water (181 W/cm² for 1.5 h at 25 °C) and no significant decrease (p > 0.05) was observed when sonication was performed using an acid solution (HCl 0.1 M). These observations agree with the results obtained in this investigation, since when extracting with the most acid solution (pH 1.5) no significant (p > 0.05) decrease of GalA content was observed with

US application in both cases, i.e. after 30 and 60 min of extraction. Furthermore, no significant differences in GalA content was observed between AG and UAE for an extraction time of 30 min, regardless of the pH. Regarding non-pectic sugars, Glc was the most abundant sugar residue. Interestingly, an increase in this sugar was observed when comparing UAE with AG, in particular, after 60 min of extraction. This observation suggested that the application of acoustic energy might have caused the solubilization of non-pectic polysaccharides, such as hemicelluloses or even cellulose, since US lead to a better cell disruption via the formation of microjets, which improves the solvent penetration and increases the breakdown of the cell walls. 45,48 Overall, significant differences (p < 0.05) were observed in the molar ratios of the different pectin sugars, when comparing pectins extracted by AG and those obtained by UAE (Table 1). On the contrary, no significant differences (p > 0.05) were observed between pectins extracted under US1 and US2 conditions, except for a significant (p < 0.05) increase of Man with US2 at 30 min and pH 2.0 and of Xyl at 60 min and pH 2.0. Further, at pH 2.0 and after 60 min of extraction, pectins obtained by UAE exhibited a significant (p < 0.05) decrease in linearity (GalA/(Ara+Gal+Rha)) in comparison with those extracted by AG. Moreover, the ratio GalA/Rha calculated as a hypothetical representation of the ratio HG/RGI ¹⁵, also decreased for pectins extracted with UAE compared to those obtained with AG. This phenomenon may indicate that UAE allows the extraction of pectins with a higher number of ramifications such as in RGI type of pectins. Nevertheless, no significant effect of US was observed when the extraction was carried out at pH 1.5 and after 30 min. Finally, the length of the RGI chains was calculated from the ratio (Gal+Ara)/Rha. In general, US

allowed the extraction of longer RGI chains compared with those extracted with AG. Although this increase was only significant (p < 0.05) after 60 min of extraction for both pH values.

Fourier transform infrared spectra (FTIR) and DM

The infrared spectra of the extracts obtained with agitation (AG) and also with accoustic assistance (US1 and US2) are shown in Figure 3. The characteristic absorption peak of polysaccharides observed in these samples was the absorption peak between 3300 and 3500 cm⁻¹ due to OH stretching. The peak between 2850 and 3000 cm⁻¹ was attributed to CH vibrational modes, including CH, CH₂, and CH₃ stretching and bending vibrations. ¹⁰ An absorption at ~1740 cm⁻¹ was caused by C=O stretching vibration of methylesterified carboxyl groups, while the absorption at about 1630-1647 cm⁻¹ was caused by C=O stretching vibration of free carboxyl groups. The average of the ratio of the peak area at 1740 cm⁻¹ (COO–R) over the sum of the peak areas of 1740 cm⁻¹ (COO-R) and 1630 cm⁻¹ (COO-) was calculated as the DM. ³² Some of carboxyl group signals might also originate from phenolic compounds as indicated by the presence of peaks at ~1523 cm⁻¹ for the aromatic ring vibrations, which is lower in all cases on the extracts obtained by UAE. ¹⁴ A strong extensive absorption in the region of 1100–1000 cm⁻¹ was due to C-O-C stretching vibration of sugars (1091-1030 cm⁻¹) and stretching vibrations of C-OH side groups. 39 According to the DM, pectins can be divided into two main groups: high methoxyl pectins (HMP) exhibiting a DM higher than 50%, and low methoxyl pectins (LMP) with a DM lower than 50%. 10 A very wide range of DM of pectins extracted from citrus materials has been reported in the literature (17.0-91.58), 42,43,51 which suggest that the extraction conditions might have a very important effect on this parameter. The DM corresponding to extracted pectins are depicted in Table 2. Overall and regardless of the method of extraction, the pH was the main parameter affecting the DM. Thus, in general, pectins extracted at pH 1.5 exhibited a higher DM (p < 0.05) than those extracted at pH 2.0, (on average, 55 ± 1 % for pectins extracted at pH 1.5 (HMP) and 46 ± 4 % for pectins extracted at pH 2.0 (LMP)). These results contrast with those reported by Levigne et al. 38 who found a DM increase in pectins extracted from fresh sugar beet, when pH increased from 1.0 to 2.0. However, the extraction conditions of that study were completely

different from those presented in this work, since high temperature (between 70 and 90 °C) and mineral acids (HCl or HNO₃) were used.

Moreover, US did not have a significant effect (p > 0.05) on the DM. With regard to the extraction time, significant differences (p < 0.05) were observed between pectins extracted at 30 min and those extracted after 60 min at pH 2.0. The highest DM was obtained after 60 min of extraction. These results are in general agreement with those reported by Minjares-Fuentes *et al.* 19 , who performed UAE (P= 50 W/L, at 55 °C) of pectins from grape pomace and observed that relatively high DM (> 50%) could be obtained using citric acid as a solvent (pH 2.0) and an extraction time of 60 min.

Mathematical modeling

In order to evaluate the entire extraction process, a second order rate model was used to mathematically describe the UAE and AG experiments carried out under different pH conditions.

The parameters of the kinetic models: Ymax, h and k were identified for all extractions by plotting t/Yt against t and considering the initial extraction yield equal to zero $(Y_0 = 0)$. The parameters and their corresponding confidence intervals (p < 0.05) (CI) are depicted in Table 3.

As can be observed, the maximum yield (Ymax) increased with the application of US at both pH values (1.5 and 2.0), obtaining the highest Ymax at pH 1.5. The same trend was observed for the h parameter, which is related to the initial extraction rate. This behavior could be due to the fact that US accelerate the extraction rate, especially during the first stages of the process.⁵² The increases of the Ymax and h parameters when applying US, are coherent with the experimental results, and are also in accordance with many studies which have mathematically described extraction procedures assisted by US, using a second order rate model. ^{34,53,54}. Thus, a similar trend was observed by Xu *et al.* ⁵⁵ who model the pectin extraction from grapefruit peel with US application (400 W/L) considering that it takes place into two main stages: transformation of insoluble pectins followed by degradation of partially dissolved pectic polymers.

On the other hand, the extraction rate constant (k) decreased with the application of US, for both pH values, 1.5 and 2.0. Yao *et al.*³⁴ and Goula,⁵³ who extracted camptothecin from *Nothapodytes nimmoniana* and oil from pomegranate seeds respectively, with US application (76.4-191 W/cm² and 130 W respectively) using different temperatures (30-60 °C and 20-80 °C respectively) observed a decrease of k when the temperature of extraction increased, even when higher yields were obtained under those conditions. Since the k parameter is inversely proportional to Ymax; higher Ymax values corresponded to lower k values.

The experimental extraction curves were simulated by using the identified parameters from the second order model (Table 3). Simulated versus experimental values are presented in Figure 4. Mathematical adjustment of the second order rate model, the corresponding confidence intervals (p < 0.05) (CI), and the prediction limits (p < 0.05) (PI) have also been included in Figure 4.

In spite of the experimental variability, mainly attributed to the heterogeneity of the raw material, a high correlation coefficient between the proposed model and experimental data was observed not only for UAE ($R^2 \ge 0.9759$) but also for AG extractions ($R^2 \ge 0.9622$).

The mean relative error (% MRE) and the explained variance (% VAR) obtained through the comparison of experimental and simulated extraction curves for UAE experiments were $\leq 7.4\%$ and $\geq 97.2\%$ respectively (Table 3). These results would confirm that the second order rate model might provide an adequate description of the UAE kinetics. The agreement of the second order model with the experimental results may suggest that there are primarily two phenomena taking place during the extraction procedure: Initially, there is an intense dissolution of pectins in which maximum leaching takes place, followed by a second, much-slower stage, which corresponds to external diffusion and may be related to the remaining soluble material. 33

CONCLUSIONS

Overall, the results presented in this study prove that the application of US is able to improve the efficiency of the pectin extraction process in comparison with a conventional solid–liquid

extraction carried out with mechanical agitation; even when the conditions used could be considered as mild (low temperature: 25 °C and using an environmentally friendly solvent such as citric acid). However, the significance of this improvement was clearly dependent on the pH of the solution. Thus, considerably higher yields were observed when the citric acid solution was adjusted to a lower pH (1.5).

Further, the US effect on pectin characteristics was influenced not only by the pH but also by the extraction time. For instance, the application of US at pH 2.0, for 60 min promoted a considerable decrease of GalA. Interestingly, this effect was not observed when the extraction procedure was carried out using a more acidic solvent (pH 1.5) or when shorter extraction times were applied (30 min).

Moreover, the pH had also a significant effect on the DM. In this sense, LMP pectins could be obtained using a pH of 2.0, whereas HMP pectins were obtained adjusting the pH at 1.5.

On the other hand, a second order rate model provided an adequate description of the kinetics of both pectin extraction procedures (% MRE \leq 7.4% and % VAR \geq 95.5%). Thus, the maximum yield, the initial extraction rate and the extraction rate constant were obtained by modeling the extraction process. Compared with AG, UAE increased maximum yield and the initial extraction rate, which indicated that US mainly enhanced the first stage of the extraction process.

In conclusion, taking into consideration environmental and waste valorization aspects, it could be interesting for the food industry to introduce US as a technology able to improve the extraction of pectins from food by-products; avoiding or reducing the use of mineral acids and high temperatures. However, US should be applied under adequate conditions, since the present study clearly shows that US efficiency might depend on key variables such as the pH of the medium. Therefore, further studies are required to obtain more information about the system behavior over

higher ranges of other important parameters as the extraction temperature.

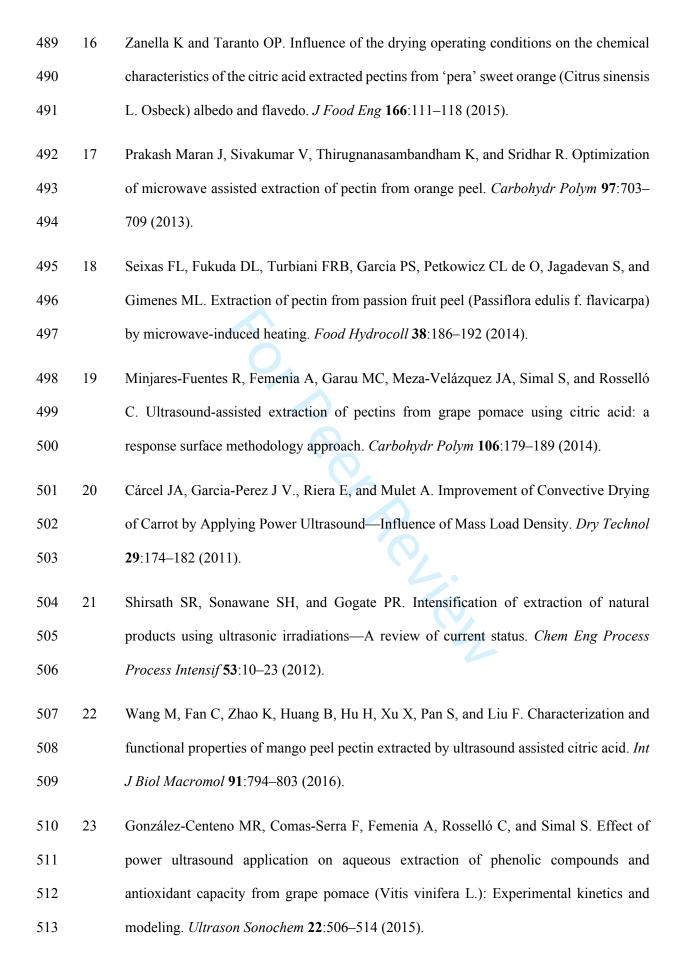
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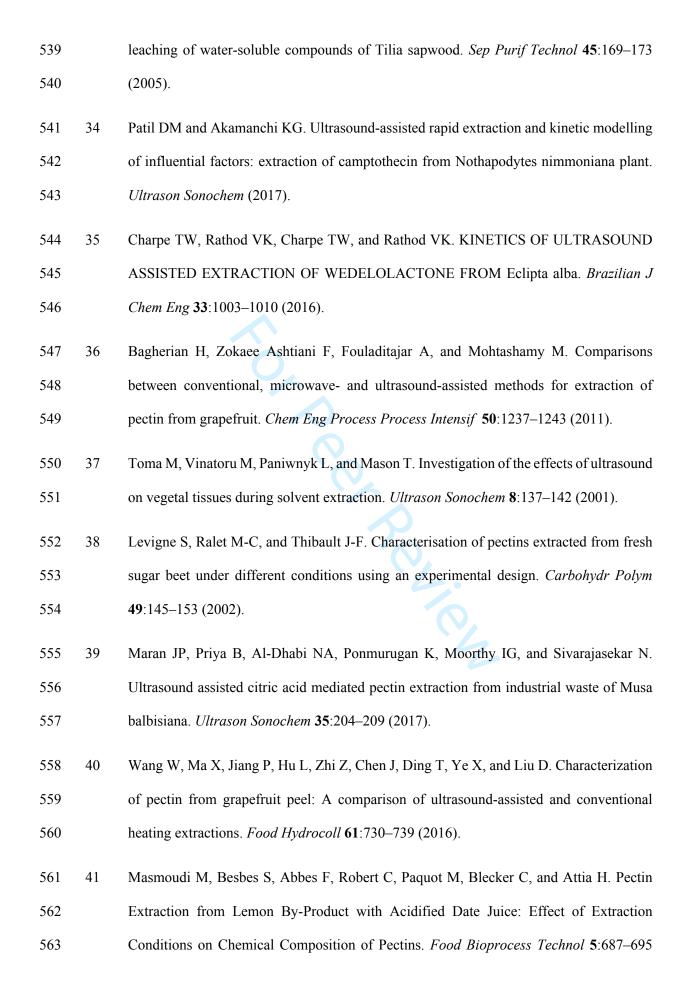
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599		heating on the extraction of pectin from grapefruit peel. <i>J Food Eng</i> 126 :72–81 (2014).
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605	FIGURE LEGENDS
606	Figure 1. Experimental setup for pectin extraction from orange by-products corresponding to
607	experiments carried out with agitation (A) and with ultrasonic assistance (B)
608	Figure 2. Plot of extraction yield (Y) (%) versus time at different pH (A: pH 1.5 and B: pH 2.0)
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TABLES LEGENDS Table 1. Carbohydrate composition (mol%) of extracts obtained with mechanical agitation (AG= 0.2 xg) and those extracted with UAE (US1=542 W/L and US2=794 W/L) **Table 2.** Degree of methylation (DM) of extracts obtained with mechanical agitation (AG= 0.2 xg) and those extracted with UAE (US1=542 W/L and US2=794 W/L) **Table 3.** Identified parameters of the second order rate model, and the corresponding confidence intervals (CI) associated to each parameter for the kinetics obtained with mechanical agitation (AG= 0.2 xg) and those extracted with UAE (US1=542 W/L and US2=794 W/L)

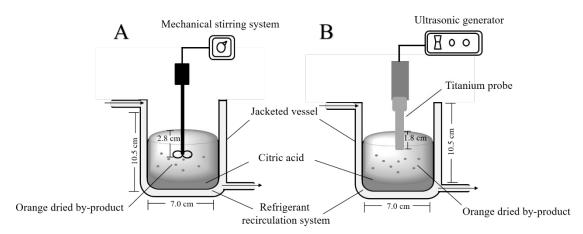


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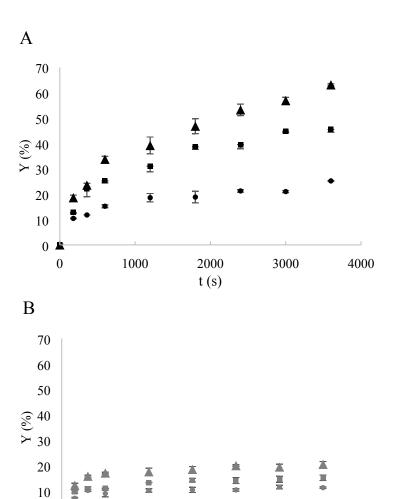


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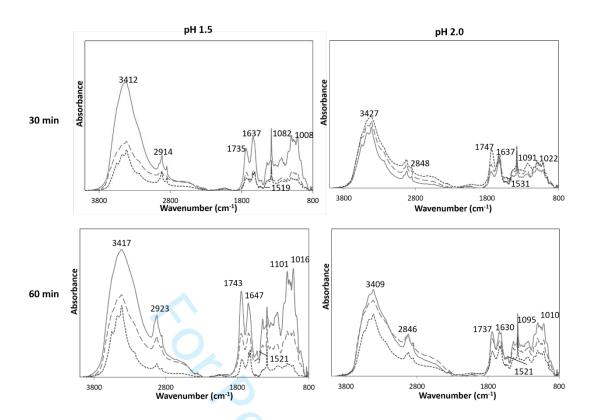
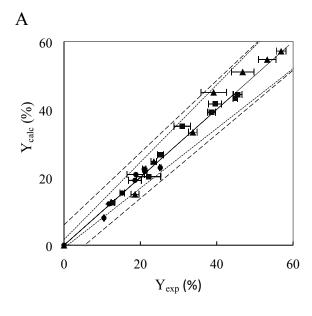
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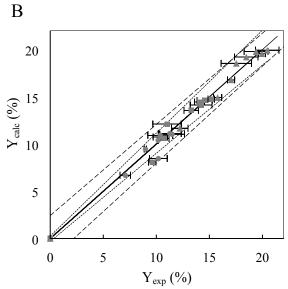


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Table 1. Carbohydrate composition (mol%) of extracts obtained with mechanical agitation (AG= 0.2 xg) and those extracted with UAE (US1=542 W/L and US2=794 W/L)

8											
9 Tim 10 (min	pn	Experiment	Rha	Ara	Xyl	Man	Gal	Glc	GalA	GalA/Rha+Gal+Ara	GalA/Rha Gal+Ara/Rha
11 12 30	1.5	AG	3.1 ± 0.1^{bcd}	17.5 ± 1.5^{ab}	3.8 ± 0.8a	2.3 ± 0.2 ^{de}	4.2 ± 0.2 ^{de}	10.3 ± 1.3 ^g 5	57.4 ± 2.1^{a}	2.2 ± 0.1 ^{bcd}	$18.4 \pm 0.6^{\text{bc}} 5.7 \pm 0.4^{\text{abcd}}$
13				- 7.10							
14		US1	$3.0 \pm 0.4^{\text{bcd}}$	$13.1 \pm 3.4^{\text{de}}$	2.6 ± 0.2^{b}	3.7 ± 0.2^{a}	5.0 ± 0.8 ^{bcde}	$16.9 \pm 1.3^{\text{cdef}} 5$	56.7 ± 3.2^{ab}	2.9 ± 1.0^{abc}	$21.6 \pm 4.7^{ab} 5.2 \pm 0.2^{bcd}$
15 16		US2	3.1 ± 0.1^{bcd}	14.6 ± 1.1^{bcde}	2.7 ± 0.2^{b}	2.9 ± 0.2 ^{abcd}	5.3 ± 0.3 bcd	$16.3 \pm 0.7^{\text{def}} 5$	54.9 ± 2.0^{abc}	$2.4 \pm \ 0.2^{abcd}$	$21.1 \ \pm \ 1.6^{ab} \ 6.3 \ \pm \ 0.1^{ab}$
17 18	2.0	AG	3.5 ± 0.2^{b}	$13.3 \pm 1.0^{\text{cde}}$	2.5 ± 0.0^{b}	2.5 ± 0.1^{cde}	4.5 ± 0.4 ^{cde}	$14.4 \pm 0.8^{\text{efg}}$ 5	59.4 ± 1.2^{a}	2.8 ± 0.2 ^{abc}	$20.0 \pm 1.8^{abc} + 4.9 \pm 0.5^{cd}$
19		US1	2.7 ± 0.1^{cd}	11.3 ± 0.6^{e}	1.2 ± 0.1°	2.2 ± 0.2^{de}	4.7 ± 0.1^{cde}	$14.9 \pm 1.5^{\text{ef}}$ 6	62.4 ± 2.2^{a}	3.3 ± 0.2^a	$27.3 \pm 1.7^{a} 5.7 \pm 0.3^{abcd}$
20 21		US2	2.5 ± 0.1^{cd}	12.0 ± 1.2e	1.3 ± 0.1°	3.3 ± 0.6^{abc}	4.0 ± 0.4^{e}	$17.2 \pm 0.0^{\text{cde}} 5$	57.4 ± 5.0^{a}	$3.1 \pm \qquad 0.5^{ab}$	$27.4 \pm 3.4^{a} - 6.2 \pm 0.4^{abcd}$
22 23 ⁶⁰	1.5	AG	4.4 ± 0.3^{a}	17.0 ± 1.0 ^{abc}	3.7 ± 0.2^a	2.2 ± 0.1 ^{de}	5.5 ± 0.3^{abc}	12.8 ± 0.8^{fg} 5	54.3 ± 0.9 abc	2.0 ± 0.1 ^{cde}	$14.5 \pm 1.0^{bc} + 4.9 \pm 0.4^{cd}$
24 25		US1	3.0 ± 0.0^{bcd}	16.6 ± 1.1 ^{abcd}	3.3 ± 0.5^{ab}	2.6 ± 0.3^{bcde}	$5.0 \pm 0.1^{\text{bcde}}$	$21.0 \pm 1.9^{bc} 4$	48.6 ± 1.7 ^{bcd}	2.0 ± 0.1^{cde}	19.0 ± 0.7^{bc} 6.8 ± 0.3^{a}
26 27		US2	3.3 ± 0.2^{bc}	16.6 ± 0.3^{abcd}	$4.1 \pm \ 0.2^a$	2.7 ± 0.3 bcde	6.1 ± 0.5^{ab}	$20.3 \pm 1.2^{cd} 4$	47.0 ± 2.2^{cd}	1.8 ± 0.1^{de}	$16.7 \pm 1.5^{bc} 6.6 \pm 0.5^{ab}$
28	2.0	AG	3.5 ± 0.4^{b}	$13.2 \pm 0.5^{\text{cde}}$	2.6 ± 0.3^{b}	2.0 ± 0.2^{e}	$5.3 \pm 0.1^{\text{bcd}}$	$13.3 \pm 1.9^{\text{efg}}$ 6	51.4 ± 3.5^{a}	3.1 ± 0.6^{ab}	$21.2 \pm 3.4^{ab} + 4.7 \pm 1.1^{d}$
29 30		US1	3.4 ± 0.1^{b}	$17.7 \pm \qquad 1.1^{ab}$	1.5 ± 0.2^{c}	$3.4 \pm \ 0.3 \ ^{ab}$	7.1 ± 0.7^a	25.6 ± 2.5^{a} 4	$41.3 \pm 2.1^{\text{de}}$	1.5 ± 0.1^{e}	$14.6 \ \pm \ 1.4^{bc} \ 7.2 \ \pm \ 0.6^{a}$
31 32		US2	3.6 ± 0.2^{b}	19.8 ± 0.9^{a}	3.0 ± 0.3^{ab}	3.3 ± 0.3^{abc}	6.9 ± 0.3^{a}	24.9 ± 1.7^{ab} 3	38.0 ± 2.0^{e}	1.3 ± 0.1°	$12.7 \pm 1.5^{\circ} 7.2 \pm 0.3^{a}$
33 (range b	by-product	1.8 ± 0.1	26.2 ± 1.6	6.2 ± 0.2	3.0 ± 0.4	10.5 ± 0.5	22.2 ± 3.0 2	29.5 ± 1.6		

^{*} Rha: Rhamnose, Ara: Arabinose, Xyl: Xylose, Man: Mannose, Gal: Galactose, Glc: Glucose, GalA: Galacturonic acid.

**Values are means ± standard deviation of three replicate experiments. Values with different letters in the same column indicate significant differences (p < 0.05)

Table 2. Degree of methylation (DM) of extracts obtained with mechanical agitation (AG= 0.2 xg) and those extracted with UAE (US1=542 W/L and US2=794 W/L)

ime (min)	pН	Experiment	DM (%)
30	1.5	AG	55.7 ± 0.9 abo
		US1	54.9 ± 1.3 abo
		US2	55.5 ± 0.8 ab
	2.0	AG	40.0 ± 1.4 d
		US1	44.4 ± 2.2^{d}
		US2	$43.2 \pm 1.0^{\text{ d}}$
60	1.5	AG	56.6 ± 1.1 a
		US1	53.5 ± 3.2 abo
		US2	55.3± 3.1 ab
_	2.0	AG	49.7 ± 0.3 °
		US1	49.6 ± 0.1 °
		US2	51.2 ± 2.5 bc

^{*} Values are means \pm standard deviation of three replicate experiments. Values with different letters indicate significant differences (p < 0.05)

Table 3. Identified parameters of the second order rate model, and the corresponding confidence intervals (CI) associated to each parameter for the kinetics obtained with mechanical agitation (AG= 0.2 xg) and those extracted with UAE (US1=542 W/L and US2=794 W/L)

pН	Experiment	Ymax (%)		h (% min ⁻¹)		K (%-1 min-1)		R ² *	MRE**	VAR**
		Value	CI	Value	CI	Value	CI	K ² "	(%)	(%)
1.5	AG	25.28	[23.36, 27.47]	3.90	[2.78, 6.61]	6.1x10 ⁻³	[5.1x10 ⁻³ , 8.7x10 ⁻³]	0.9632	7.4	96.7
	US1	51.22	[46.15, 54.70]	5.55	[4.52, 7.19]	2.1x10 ⁻³	[1.9x10 ⁻³ , 2.4x10 ⁻³]	0.9861	5.2	98.2
	US2	69.66	[64.31, 75.99]	6.37	[5.09, 8.53]	1.3x10 ⁻³	[1.2x10 ⁻³ , 1.4x10 ⁻³]	0.9730	7.4	97.2
2.0	AG	11.51	[11.00, 12.07]	5.33	[3.11, 14.56]	4.0x10 ⁻²	[2.1x10 ⁻¹ , 1.2x10 ⁻¹]	0.9881	5.5	95.5
	US1	15.42	[14.70, 16.21]	5.66	[3.55, 13.94]	2.4x10 ⁻²	[1.6x10 ⁻² , 5.3x10 ⁻²]	0.9870	4.4	97.5
	US2	20.70	[19.90, 21.57]	8.92	[5.67, 20.85]	2.1x10 ⁻²	[1.4x10 ⁻² , 4.5x10 ⁻²]	0.9913	3.4	98.9

^{*} R² Correlation coefficient of the linear form of the model

^{** %} MRE: Mean relative error, % VAR: percentage of explained variance, were estimated by comparison of experimental and simulated extraction kinetics.