

1 **Detection of the *EGFR* G719S mutation in non-small cell lung cancer** 2 **using Droplet Digital PCR**

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21 **Keywords:** *EGFR*, liquid biopsy, G719S, lung cancer, droplet digital PCR.

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23 **Abstract**

24 **Objectives:** The main objectives of the study were 1) to set-up a droplet digital PCR (ddPCR) assay
25 for the non-invasive detection of G719S EGFR mutation in NSCLC patients; 2) to determine the
26 limits of detection of the ddPCR assay for G719S mutation and 3) to compare COBAS® and ddPCR
27 System for G719S quantification in plasma.

28 **Materials and methods:** Blood samples were collected from 19 patients diagnosed with clinical
29 stage IVA or IVB NSCLC according to the TNM Classification of Malignant Tumors. Then, plasma
30 ctDNA was extracted with the Qiagen Circulating Nucleic Acids kit and quantified by QuantiFluor®
31 dsDNA System. The mutational study of EGFR was carried out by digital droplet PCR (ddPCR) with
32 the QX200 Droplet Digital PCR System with specific probes and primers.

33 **Results:** We observed the lowest percentage of G719S mutant allele could be detected in a wildtype
34 background was 0,058%. In the specificity analysis, low levels of G719S mutation were detected in
35 healthy volunteers with a peak of 21.65 mutant copies per millilitre of plasma and 6.35 MAFs. In
36 those patients whose tissue biopsy was positive for G719S mutation, mutant alleles could also be
37 detected in plasma using both ddPCR and COBAS® System. Finally, when mutational status was
38 studied using both genotyping techniques, higher mutant copies/ml and higher mutant allele fraction
39 (MAF) correlated with higher Semiquantitative Index obtained by COBAS®.

40 **Conclusions:** Although tissue biopsies cannot be replaced due to the large amount of information
41 they provide regarding tumor type and structure, liquid biopsy and ddPCR represents a new
42 promising strategy for genetic analysis of tumors from plasma samples. In the present study, G719S
43 mutation was detected in a highly sensitive manner, allowing its monitorization with a non-invasive
44 technique.

45 1 Introduction

46 Cancer is the second leading cause of death in developed countries (1) and lung cancer is the leading
47 cause of cancer death in Europe. Metastatic lung cancer patients surviving for five years are less than
48 15% (2). 87% of all cases of lung cancer are non-small cell lung cancers (NSCLC). In order to
49 improve survival of patients, research has focused on understanding the biology of tumors to develop
50 targeted therapies and personalized medicine.

51 In NSCLC several recurrent mutations in genes involved in proliferation, apoptosis, cell survival and
52 angiogenesis have been reported. One of the most important deregulated genes in NSCLC is *EGFR*
53 (Epidermal Growth Factor Receptor). Genetic analysis of NSCLC tumors, especially
54 adenocarcinomas, revealed that around 17% of them harbored *EGFR* mutations (3). About 90% of
55 these mutations are small deletions in 5 amino acids from codon 746 to 750 of exon 19 or missense
56 mutations at codon 858 of exon 21 (4,5). Moreover, less frequent mutations have been found like the
57 mutation within the phosphate-binding loop (P-loop) that comprises part of the ATP-binding pocket
58 which replaces Gly719 with Ser (G719S) (6,7). All of these mutations produce a gain of function.
59 NSCLC cells become dependent on this aberrant signaling and inhibition with tyrosine kinase
60 inhibitors (TKIs) specific for *EGFR* like erlotinib and gefitinib drive to cell death through intrinsic
61 apoptosis (8,9). Unfortunately, TKIs effects are limited because of resistance occurrence due to
62 several mechanisms, one of which being secondary resistance mutations in *EGFR* (normally T790M
63 mutation) (10,11).

64 Screening for mutations in *EGFR* follows two objectives: selection of patients for treatment with
65 TKIs and detection of resistance mechanisms. Tumor biopsies are the gold standard method for
66 detecting these mutations. However, they are spatially and temporary limited due to: biopsies are
67 invasive, often difficult to perform, do not reflect the entire tumor or different metastasis (12,13).
68 Liquid biopsy for the study of circulating tumor DNA (ctDNA) is being developed to overcome some
69 of these limitations (14,15). In this study, we have developed a method for detecting G719S mutation
70 in liquid biopsy by means of digital droplet PCR technology.

71 2 Methods

72 2.1 Patients

73 Nineteen patients diagnosed with clinical stage IVA and IVB non-small cell lung cancer according to
74 the TNM Classification of Malignant Tumours (8th Edition) were recruited to the study from

75 Hospital Universitari Son Espases (HUSE) from October 2015 to September 2016. The study was
76 approved by the Clinical Research Ethics Committee of the Balearic Islands (CEIC-IB) and a written
77 informed consent was acquired from all patients for specimen collection, clinical information
78 collection and biomarker analysis in tissue and plasma samples. Clinical and pathological features of
79 patients enrolled are provided in **Table 1**. Patients were eligible for the study according to the
80 following selection criteria: histological confirmation of NSCLC in stages IIIB or IV inoperable,
81 functional state 0-2 according to Performance Status (PS) and patients of both sexes, aged over 18
82 and belonging to any ethnic group. Pregnant or breastfeeding women and patients with other
83 antecedent of solid or haematological tumors in the previous five years, except for basal cell
84 carcinoma, were excluded. Six healthy volunteers with no known significant health problems were
85 also included in the study.

86 Tumor genotyping of *EGFR* mutation was carried out in the HUSE Pathology Department using
87 DNA extracted from formalin-fixed paraffin-embedded (FFPE) tissue and COBAS® 4800 system
88 (Roche).

89 **2.2 Plasma collection and DNA extraction**

90 Blood samples were collected in Vacutainer EDTA tubes and immediately separated into plasma by
91 centrifugation at 3000 rpm for 10 minutes at room temperature. Plasma samples were stored in 2 mL
92 aliquots at -80°C until ctDNA extraction. We analysed the samples corresponding to the dates of
93 baseline, first month and third month after treatment and progressive disease.

94 ctDNA extraction was performed using 2 mL of plasma from each patient using the Qiagen
95 Circulating Nucleic Acids kit (Qiagen, Hilden, Germany) following the manufacturer's
96 recommendations. Extracted ctDNA from each plasma sample was twice eluted in 100 and 50 µL of
97 AVE elution buffer and stored at -20°C until mutation profiling. Quantification was performed by
98 QuantiFluor® dsDNA System (Promega Corporation, Alcobendas, Madrid) using 4,8 µL of sample
99 diluted 1/50 with TE 1x buffer (included in kit) following the manufacturer's instructions.
100 Fluorescence measurement was carried out by multiple well spectrophotometer (BioTek, Winooski,
101 VT, USA) and DNA concentrations were obtained in ng/µL.

102 **2.3 G719S mutation detection in ctDNA**

103 Mutation analysis was carried out with droplet digital PCR (ddPCR) System (Bio-Rad). The reaction
104 mix was prepared using 10 µL from SuperMix for Probes without dUTP (Bio-Rad), 1 µL from each
105 probe at 5 µM (HEX for the WT and FAM for the mutant), 1 µL from each primer at 9 µM (Table
106 S1) and 6 µL from DNA extraction (concentration varies according to the sample used). A total of 20
107 µL were charged in the QX200 droplet generator (Bio-Rad) and immediately transferred to a 96-well
108 plate through and amplified in a conventional thermal cycler. After PCR reaction, plate was placed in
109 the QX200 reader (Bio-Rad) and data analysis was carried out with QuantaSoft™ Analysis Pro
110 Software 1.0.596 (Bio-Rad). For each sample, detected droplets from triplicates were merged into 1
111 metawell. Wild-type and mutant allele concentrations present in the original blood samples were
112 calculated using the following algorithm:

$$C_{ORIG} = \frac{20 \times C_I \times V_E}{V_P \times V_O}$$

113 where C_{ORIG} represents mutant allele concentration in the original plasma sample in copies/mL, V_E is
114 the elution volume of ctDNA generated by the DNA extraction (100 mL); V_P is the volume of elution

115 of DNA used in the PCR reaction (μL); V_0 is the volume of plasma used to extract ctDNA (2mL).
116 The value of 20 located in the numerator of the equation corresponds to the final volume PCR mix,
117 which was 20 μL .

118 Mutant-allele fraction (MAF) data was also calculated as (16):

$$MAF = \frac{\textit{mutant reads}}{\textit{mutant reads} + \textit{wild - type reads}}$$

119 2.4 Limit of detection calculation

120 To determine the limit of detection (LOD), DNA template extracted from FFPE G719S mutant were
121 serially diluted with wild-type DNA at levels of 0.003, 0.03, 0.3, 3 and 30% using a total of 25ng per
122 well. The LOD was defined as the MAF or the lowest % of mutant allele that can be reliably detected
123 (17).

124 2.5 Statistical analysis

125 Data analysis was carried out with the IBM SPSS Statistics 22 software and the graphical
126 representation was performed with GraphPad Prism 5. For the comparative analysis of COBAS and
127 ddPCR results, we applied the Kappa statistic to determine the measure of agreement between
128 variables.

129 3 Results

130 3.1 Validation and sensitivity of G719S testing with ddPCR

131 G719S ddPCR assay was tested across an annealing temperature gradient to optimise thermocycling
132 conditions. In order to perform it, we used DNA from positive tumor biopsies, confirmed by COBAS
133 @4800 System, of patients diagnosed with advanced stage of NSCLC. The temperature range studied
134 came from 57 to 67°C and the experiment was repeated twice. Decreasing annealing temperature
135 increased FAM amplitude of the mutant probe and showed a good separation between the four
136 droplet groups to plateau at 57.8°C, allowing clear identification and quantification of both mutant
137 and wild-type droplet groups (**Figure 1A**).

138 To calculate empirically the limit of detection we serially diluted positive mutant control DNA (from
139 FFPE tissue samples) in a background of wild-type DNA. Mutant DNA was two-fold diluted, using
140 10 ng as initial amount. Total amount of DNA (mutant plus wild-type) was maintained in 25 ng per
141 well.

142 The limit of detection was considered as the dilution that shows a statistically significant difference
143 from the negative controls or the lowest mutant concentration detected where the lower error bar of
144 the measured mutant concentration does not overlap with the upper error bar of the measured mutant
145 concentration in the wild-type-only (mutation-negative) control. Taking this into consideration, the
146 mutation G719S could be detected by ddPCR even 0,058% mutant fraction (**Figure 1B**).

147 3.2 Threshold setting for detection of true positives results

148 To optimize the specificity of the EGFR genotyping assay, we tested the incidence of false-positive
149 reads in a healthy population of 6 volunteers. At least, we performed 6 independent reactions for each

150 individual. Low levels of *EGFR* G719S were detected in healthy volunteers with a peak level of
151 21.65 copies/mL (**Figure 2**) and 6.35 MAF. Using 22 mutant copies/mL as threshold for a positive
152 result and MAF of 6.5%, 3 of 19 included in the study were real G719S positive patients.

153 3.3 Quantifying mutant load

154 Once a threshold and the sensitivity ddPCR for G719S mutation detection was established, MAF and
155 mutant copies of G719S in plasma samples were calculated (**Figure 3**). It was observed that the
156 patients whose tissue biopsy was G719S positive, mutation was also detected in plasma by COBAS
157 and ddPCR systems. Higher values of Semiquantitative Index (SQI) obtained by COBAS System
158 correlated with higher MAF and mutant copies/ml obtained by ddPCR. As agreement measure of
159 both techniques used in the present work, it was calculated the Kappa coefficient (K=1), which
160 indicated a perfect correlation between COBAS and ddPCR results.

161 4 Discussion

162 Targeted analysis for pathogenic variants in driver genes is the most promising approach for
163 choosing personalized and more effective treatments to NSCLC patients. The number of FDA
164 approved drugs targeting NSCLC driver genes has increased during the last decade. But routinely,
165 only the most common mutations are studied. However, there are rarer mutations which also
166 contribute to tumour heterogeneity that can also be attacked, as G719S and L851Q mutations in
167 *EGFR*. Moreover, in recent years, liquid biopsy has been introduced as a tool of high potential for
168 obtaining samples noninvasively of cancer patients in order to carry out this genetic diagnosis.
169 Several technologies have been developed for the study of circulating tumour DNA, among which
170 the ddPCR provides greater accuracy, sensitivity and absolute quantification in comparison to other
171 conventional techniques used to date.

172 We herein describe the development of ddPCR technique for G719S rare mutation detection in
173 advanced NSCLC patients using plasma samples. From a technical point of view, the ability to
174 discriminate mutant sequences from wild-type is one of the critical steps of the study. The separation
175 of the signal can be affected by both concentration DNA input and cross-reaction of probes to detect
176 mutation and native sequence. For this reason, we performed temperature and concentration gradients
177 using G719S positive FFPE samples to determine the conditions under which probes and primers
178 work more efficiently in order to minimize false positive results. Because circulating tumor DNA
179 represents 0.1% or even less of total circulating DNA (18), the sensitivity was evaluated by
180 concentration gradients and using DNA from tissue samples. In our population, we had been able to
181 detect G719S mutation even a dilution of 0.058%. This result is in agreement with those presented by
182 Oxnard *et al.* and Zhu *et al.* who also determined ddPCR as a high sensitive technique showing
183 >80% sensitivity when evaluating L858R and exon 19 deletion mutations.

184 The strategy of using healthy controls to test false positive results and to establish a threshold to
185 consider a result as true positive result has previously used by other groups (19–21). In the present
186 study we used blood samples from 6 healthy people and the threshold was set in 22 mutant
187 copies/mL as and MAF of 6.5%.

188 Despite sample size limitations, the present work shows a robust way to detect G719S mutation in
189 NSCLC patients by ddPCR. However, it should be taken into account that if larger population could
190 be tested, thresholds and correlations calculated may undergo slight variations. Thus, as more
191 NSCLC patients with G719S mutation are detected in the Hospital, it would be advisable to include
192 them into this study to validate the results.

193 One of the advantages of digital approaches is the quantification without the need for a standard
194 curve. Taking advantage of this capacity, mutant allele load was calculated for three patients whose
195 genetic diagnosis was positive for G719S in tissue biopsy. Also, the obtained values in plasma
196 samples by ddPCR were compared with an approved genotyping methodology in clinical routine,
197 COBAS 4800 System. We could observe that mutation studied values obtained by ddPCR
198 corresponded with positive values in tissue biopsy using COBAS System. These results are
199 comparable to those obtained by Zhu and Weber *et al.* which show a 90% of concordance between
200 plasma and tissue determination in other *EGFR* mutations with K values of 0,75 and 0,62,
201 respectively (22,23). Taking in consideration that in the current study sample size is limited, our
202 results are in the same line as those published previously. In terms of correlation between COBAS
203 and ddPCR in plasma samples, it has been shown higher rates obtained by COBAS correlates with
204 greater mutant load in ddPCR that is statistically significant.

205 Until today, several studies have addressed a comparative analysis between digital and non-digital
206 platforms. In general, digital techniques show greater sensitivity than non-digital techniques. This
207 may be because, as detailed in the COBAS *EGFR* mutation test guide, the system is only capable of
208 detecting mutations with a sensitivity of 5% (24). More specifically, as shown by the results of
209 Thress *et al.* and Watanabe *et al.* ddPCR is one of the most sensitive techniques for genotyping
210 ctDNA (25,26). However, due to the wide range of analytical techniques, laboratories will be able to
211 select the optimal platform for their needs.

212 This research focuses on the development of G719S mutation detection using ddPCR in patients with
213 advanced NSCLC without using commercial primers. Results obtained in the current study suggest
214 ddPCR as a sensitive, specific and low cost genotyping tool for lung cancer patients and could also
215 be applied to other cancers. That is why, if results are validated, the analysis of the mutational status
216 of *EGFR*, specifically G719S mutation, could result in a new biomarker in NSCLC and could join
217 gradually in clinical practice.

218 **5 Conflict of Interest**

219 The authors declare that the research was conducted in the absence of any commercial or financial
220 relationships that could be construed as a potential conflict of interest.

221 **6 Author Contributions**

222 AOH, CGB, MG, AA, RM, VJA and JT contributed conception and study design; MES1 and MES2
223 performed the experiments and organized the database; MES1 analyzed data, plotted the results and
224 performed the statistical analysis. MES1 and AOH wrote the first draft of the manuscript. All authors
225 contributed to manuscript revision, read and approved the submitted version.

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232

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317 **10 Figure legends**

318 **Figure 1. Validation assays for G719S mutation detection in plasma samples.** (A) Temperature
 319 gradient to determine the optimum annealing temperature; mutant positive events (top panel) and
 320 wild-type positive events (bottom panel) across the thermal gradient (57 to 67°C). (B) Two-fold
 321 dilution series of mutant DNA into wild-type DNA: concentration is shown in copies per microliter
 322 for mutant (blue) and wild-type (green) events and Fractional abundance in percentage (orange).
 323 Error bars show 95% CI.

324

325 **Figure 2. Detection of G719S in a healthy population using ddPCR.** Concentration is represented
 326 in copies per millilitre of plasma in both healthy (●) and patient (◆) groups; where dashed line
 327 represents a candidate threshold for positive results with high sensitivity.

328

329 **Figure 3. Summary of results obtained by COBAS and ddPCR and comparative evaluation for**
 330 **G719S detection in plasma.** SQI, Semiquantitative Index obtained by COBAS® 4800 System.

331 *For sample LB010 SQI was not available.

332 **11 Tables**

333 **Table 1. Outline of clinical and pathological features of patients.** Cumulative smoking exposure
 334 was determined in terms of pack-years by multiplying the number of years smoked by the average
 335 number of packs per day.

336

Variable	Total (±SD)	Percentage (%)
Age (years)	63 ±14	-
Gender		

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<i>Male</i>	7	37
<i>Female</i>	12	63
<i>Smoking habit</i>	11	58
<i>Pack-year</i>		
<i><20</i>	3	27
<i>>20</i>	8	73
<i>Stage</i>		
<i>IVA</i>	8	42
<i>IVB</i>	11	58
<i>NSCLC</i>		
<i>Primary</i>	17	89
<i>Secondary</i>	2	11
<i>Treatment</i>		
<i>None</i>	4	21
<i>First-line chemotherapy</i>	7	37
<i>Second-line chemotherapy</i>	3	16
<i>Third-line chemotherapy</i>	2	11
<i>TKIs^a</i>	7	37