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Cost-effectiveness analysis of chlorine-based and alternative disinfection systems for pool waters --Manuscript Draft--

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Abstract:	<p>The aim of this study was to ascertain the biocidal efficacy, based on the so-called C·t values, and the usage expenses, of seven disinfection products in recreational waters using Escherichia coli and Pseudomonas aeruginosa as microorganism models of faecal and environmental contamination, respectively. A 250-L indoor fully equipped pool basin was harnessed as a proof-of-concept setup for evaluation of chlorine-based (viz., trichloroisocyanuric acid (trichloro), sodium hypochlorite, sodium hypochlorite + isocyanuric acid, and saline electrolysis) and unconventional (viz., 1-bromo-3-chloro-5,5-dimethylhidantoine, chlorine dioxide and hydrogen peroxide) biocides at 30 °C and different pH values . The economic losses resulting from human action, mimicked by urea addition, were also contemplated. Experimental results showed that trichloro, chlorine dioxide and sodium hypochlorite were the most effective disinfection agents with a log 3 removal of both organisms in 60 s regardless of the water pH. On the other hand, sodium hypochlorite and trichloro afforded unparalleled cost-effectiveness analysis. Chlorine dioxide exhibits the greatest biocide efficacy, yet its elevated usage costs make it merely applicable in shock treatments to offset high organic loads.</p>	
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<p>Papers published in ASCE Journals must make a contribution to the core body of knowledge and to the advancement of the field. Authors must consider how their new knowledge and/or innovations add value to the state of the art and/or state of the practice. Please outline the specific contributions of this research in the comments box.</p>	<p>Our study analyzes the biocidal efficacy of the most representative and commonly used disinfection systems in recreational waters. Some of them have been previously characterized, but comparison among the seven parameters included in this work at the same controlled conditions was not available. Moreover, we have not only characterized their biocide activity, but we have also calculated the usage expenses associated to each of them. On the other hand, biocide and microorganism dispersion and pool dynamics are more complex than those simulated in bench analysis. Our model is thus more representative of real-life scenarios than those carried out in test tubes. Therefore, we consider that this new knowledge add value to the state of the practice and suppose a great help for decision making in the planning and management of swimming pools and other recreational water.</p>
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1 **Cost-effectiveness analysis of chlorine-based and alternative disinfection** 2 **systems for pool waters**

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30 **ABSTRACT**

31 The aim of this study was to ascertain the biocidal efficacy, based on the so-called C·t values, and
32 the usage expenses, of seven disinfection products in recreational waters using *Escherichia coli* and
33 *Pseudomonas aeruginosa* as microorganism models of faecal and environmental contamination,
34 respectively. A 250-L indoor fully equipped pool basin was harnessed as a proof-of-concept setup
35 for evaluation of chlorine-based (viz., trichloroisocyanuric acid (trichloro), sodium hypochlorite,
36 sodium hypochlorite + isocyanuric acid, and saline electrolysis) and unconventional (viz., 1-bromo-
37 3-chloro-5,5-dimethylhidantoino, chlorine dioxide and hydrogen peroxide) biocides at 30 °C and
38 different pH values . The economic losses resulting from human action, mimicked by urea addition,
39 were also contemplated. Experimental results showed that trichloro, chlorine dioxide and sodium
40 hypochlorite were the most effective disinfection agents with a log 3 removal of both organisms in
41 60 s regardless of the water pH. On the other hand, sodium hypochlorite and trichloro afforded

42 unparalleled cost-effectiveness analysis. Chlorine dioxide exhibits the greatest biocide efficacy, yet
43 its elevated usage costs make it merely applicable in shock treatments to offset high organic loads.

44

45 **INTRODUCTION**

46 Tourism is the main economic activity in Majorca (Balearic Islands, Spain) since late 80s. There
47 are currently *ca.* 2,600 swimming pools for touristic activities and 250 spas or hot springs in the
48 island. The number of private or community pools is not exactly known, but can be estimated
49 around 60,000 (Govern Balear n.d.; Matas et al. 2013). Recreational waters are however a major
50 source of infection by several pathogenic microorganisms, which in turn might lead to acute
51 gastrointestinal, cutaneous and respiratory illnesses as a result of water swallowing during
52 swimming activity (Dufour et al. 2006; Rice et al. 2012; Suppes et al. 2014). Outbreaks related to
53 recreational waters usually reflect deficient control of the disinfection system (Chowdhury et al.
54 2014; Doménech-Sánchez et al. 2008; Dziuban et al. 2006). Chlorine is still the most common
55 product for the disinfection of recreational waters on the basis of its recognized biocidal activity,
56 with a current market share of 70% in the Balearic Islands, and more than 10,000 tonnes per year
57 of demand. As a consequence of the generation of potentially harmful chloramines and organic
58 derivatives thereof (Chowdhury et al. 2014; Parrat et al. 2012; Teo et al. 2015), and the fact that
59 the chlor-alkali sector in Europe is progressing towards a phase-out of mercury cell technology,
60 there is a quest of novel manufacturing industry for hypochlorite. Alternative biocide systems
61 include cyanuric acid stabilized chlorine (chlorine isocyanates), bromine, chloride dioxide, UV-
62 irradiation, ozone, saline electrolysis and non-thermal atmospheric plasma (Matas et al. 2013),
63 just to mention a few. Below some of the (potential) disinfection systems for swimming waters
64 are briefly described.

65 Chlorine isocyanates are triazine compounds used worldwide as chlorine stabilizers in outdoor
66 pools, so as to hinder the loss of chlorine caused by the action of solar ultraviolet irradiation
67 (Dorevitch et al. 2011). There are two commercial formulations: sodium dichloroisocyanuric acid

68 (also known as Dichlor) and trichloroisocyanuric acid (Trichlor). Trichlor contains ca. 90% available
69 chlorine and is usually supplied as crystalline power or tablets. This biocide is not very soluble in
70 water, which makes it more appropriate for direct use in flow injectors or skimmers. It bears a
71 low pH (approximately 3), which in turn may shift the water pH below accepted levels and thus
72 re-adjustment of the pH would be called for. Further, monitoring of cyanuric acid concentration
73 in pools to concentrations levels $\leq 75 \text{ mg L}^{-1}$ is mandatory to cope with current legislation
74 (Ministerio de Sanidad Servicios Sociales e Igualdad 2013). This is because chlorine is consumed
75 throughout the redox reaction with organic matter but the isocyanuric acid remains. As a
76 consequence, the concentration of the triazine species might increase to levels $>150 \text{ mg L}^{-1}$ that
77 would in turn give rise to the so-called chlorine lock phenomenon in pools (Murphy et al. 2015).
78 Chlorine analysis still shows adequate levels of free chlorine, but it rendered inactive toward
79 bacteria and viruses, with the subsequent impact onto the human health.

80 Saline electrolysis (salt water chlorination) is another yet disinfection system which has grown in
81 popularity over the past decade in small pools and touristic resorts (Matas et al. 2013). The
82 investment costs in electrolytic equipment have been significantly minimized in yesteryears, with
83 the subsequent decrease in the overall depreciation expenses. On the other hand, disinfection is
84 still based on chlorine oxidation, and hazards for workers and swimmers health, derived from
85 disinfection by products in inner pools and spas, have been described (Jacobs et al. 2007;
86 Rosenman et al. 2015; Rotman et al. 1983). To tackle this issue, non-chlorine biocides, such as
87 bromine, have been recently regulated (Ministerio de Sanidad Servicios Sociales e Igualdad 2013).
88 The oxidizing species of bromine-treated water is hypobromous acid (HBrO). Free bromine is
89 deemed to bear comparable disinfectant properties than those of chlorine, but in the swimming
90 pool framework its biocide efficiency does seem superior. As indicated above, combined chlorine

91 or chloramines in chlorinated water might cause eye irritation to swimmers, and occasionally
92 offensive odours. In bromine-treated pools, though combined bromine or bromamines (poorly
93 stable in water (Heeb et al. 2017)) might be also be generated, ocular irritation is almost non-
94 existent. The use of elemental bromine however is not widespread, because it is available as a
95 corrosive dark red liquid, which might evolve harmful volatile compounds. The organic compound
96 1-bromo-3-chloro-5,5- dimethylhydantoin (BCDMH) bearing both elemental chlorine and
97 bromide is deemed a suitable alternative to bromine in pools and spas. It is usually supplied as
98 tablets and contains 61% of available bromine and 27% of available chlorine. BCDMH dissolves in
99 water to release both free bromine and free chlorine (hypochlorous acid/hypochlorite ions)
100 slowly. Though the latter is also operating as a disinfectant the primary antimicrobial agent in a
101 long-term treated with BCDMH pool is the hypobromous acid. In fact, bromide ions are oxidized
102 by hypochlorous acid to generate more hypobromous acid. The main asset of BCDMH capitalizes
103 upon its superior chemical stability as opposed to chlorine species and does not require special
104 storage conditions, beyond controlled temperature and moisture.

105 Other systems which enable greater innovation, such as chlorine dioxide (Junli et al. 1997) and
106 other activating compounds, including hydrogen peroxide, are basically unknown by pool owners
107 and maintenance staff, and their use is not regulated in many countries (Ministerio de Sanidad
108 Servicios Sociales e Igualdad 2013). Notwithstanding the fact that chlorine dioxide is less reactive
109 than chlorine compounds (Rice and Gomez-Taylor 1986), it features unique selectivity by acting
110 as oxidizing species upon electron-rich centers of organic molecules by one-electron exchange
111 mechanism (Dietrich et al. 1992). Not the least, chlorine dioxide efficiently removes organic
112 species that provide colour or are precursors of unwanted organic haloforms, which would
113 otherwise be generated via traditional chlorination procedures. However, the major

114 shortcomings related to the use of chlorine dioxide are (i) the hazardous nature of the vapour
115 species and its precursor, and (ii) the volatility of aqueous solutions that calls for stringent
116 requirements for manipulation and design of feeding equipment. Hydrogen peroxide in
117 recreational waters is a very novel and non-aggressive system for users. Its biocide mechanism
118 focuses on the oxidation of some proteins, altering their functions and inhibiting metabolic
119 processes in microorganisms (Borgmann-Strahsen 2003). The great advantage of this procedure
120 is that it leaves no residual biocide, only water and oxygen. The disadvantages however are
121 related to cost, manipulation, easy degradability with temperature or moisture, and questionable
122 disinfectant efficiency in pool waters (Borgmann-Strahsen 2003).

123 It is important to stress the fact that previous works dealing with the comparative evaluation of
124 biocides in recreational waters only used artificial pool water with test assays in a 0.35-1.0-L
125 beaker format under magnetic agitation (Borgmann-Strahsen 2003; Korich et al. 1990). However,
126 biocide and microorganism dispersion and pool dynamics are more complex than those simulated
127 by bench analysis. Therefore, extrapolation to real-life situations is actually debatable. To solve
128 this gap, in the present work, we have used a more realistic approach. Our experimental model
129 includes a 250-L indoor pool basin with a pumping system for water recirculation and real-time
130 monitoring of pH, temperature and redox potential. To our knowledge, this is the first time that
131 this model has been exploited, and is clearly more representative of real-life scenarios than those
132 carried out in test tubes.

133 The aim of this work is to present a novel approach for in-depth investigation of the biocidal
134 activity and the cost-effectiveness of a number of unconventional disinfection systems (*namely*,
135 chlorine dioxide, hydrogen peroxide, BCDMH) in recreational waters without harmful by-product

136 formation against conventional chlorine-laden products (hypochlorite, hypochlorite + isocyanate,
137 tri-chloro and saline electrolysis).

138 **METHODS**

139 *Experimental design*

140 The pilot experimental setup consisted of a 250-liter whirlpool vessel (herein after referred to as
141 pool) and accessories thereof including the pumping system and on-site monitoring devices
142 (without filtration systems) that allowed the assessment of the biocide efficacy of various
143 disinfection chemicals (see Online Resource 1). Distilled water was used for preparation of stock
144 solutions throughout. Chlorine-based products were standardized pending use by redox titration
145 against sodium thiosulphate using potassium iodate as a primary standard.

146 In every individual experiment, the pool was filled with chlorine-free water by in-line filtration
147 through activated carbon, and heated and stabilized at 30°C (so-called preparation phase).
148 Thereafter, throughout the so-called initial phase, a given disinfection system was applied and
149 monitored until attaining the prescribed concentration level of biocide (see Table 1), followed by
150 adjustment of the water pH to the appropriate pre-set value (7.2 or 8.0) inasmuch as pH changes
151 are expected upon addition of the oxidizing chemicals. At this time, and to reproduce real
152 conditions of use, organic load was simulated by urea addition. Urea is commonly occurring in
153 recreational waters because it is an endogenous component of the human saliva, sweat and urine
154 (Afifi and Blatchley 2016; Yang et al. 2018). In this work, urea concentration was fixed to 1.2 mg
155 L⁻¹ on account of the average concentration reported in a recent study of 50 pools in use (De Laat
156 et al. 2011). In the subsequent stabilization phase, the evolution of the disinfectant concentration
157 was monitored at real time so as to offset possible fluctuations due to evaporation over time.

158 This was followed by the microbiological contamination phase consisting of the addition of
159 microorganisms, namely *Escherichia coli* (*E. coli*) and *Pseudomonas aeruginosa* (*P. aeruginosa*),
160 into the experimental pool, with the subsequent homogenization and collection of water aliquots
161 over time to elucidate the actual biocide activity of the tested chemicals and systems. Upon
162 finalization of the sampling stage, the possible remnants of microorganisms were eliminated by
163 water hyperchlorination with 20 mg L⁻¹ chlorine for at least 1 h. Surplus of chlorine was
164 neutralized with 33 mg L⁻¹ sodium thiosulfate, and after corroboration that the chlorine level was
165 down to 3 mg L⁻¹, the pool was completely emptied. To make it ready for the next experiment,
166 the vessel was cleansed with 10 L of chlorine-free water, and the inner walls were sprayed with
167 100 mL of isopropyl alcohol, which was allowed to evaporate until the onset of the ensuing assay.

168 *Experimental conditions*

170 Seven types of disinfection chemicals were evaluated in this work, including extensively used
171 chlorine-based products and others uncommon in swimming pool disinfection (see Table 1).
172 Experimental conditions were selected based on current legislation and manufacturers'
173 recommendations. For chlorine, four different forms and/or formulations were applied:
174 chlorinated isocyanates (stabilized chlorine, solid), liquid chlorine (sodium hypochlorite), liquid
175 chlorine + isocyanates, and saline electrolysis.

176 Temperature and pH are two parameters that greatly affect the efficiency of biocides. To this
177 end, the water temperature was maintained at 30 ± 1°C to simulate indoor pools, as an
178 intermediate situation between those of outdoor pools and whirlpools. In addition, two pH
179 values, 7.2 and 8.0, were assessed so as to cope with the entire pH range endorsed by current
180 regulations (Ministerio de Sanidad Servicios Sociales e Igualdad. 2013). The temperature was held

181 constant by an in-line electric heating system (Electric Heat Exchanger, Astral, Spain) and the pH
182 was monitored continuously by a flow-through combined pH electrode placed within the water
183 recirculation system. To adjust and maintain the water pH, minute volumes of 1 mol L⁻¹ HCl or 1
184 mol L⁻¹ NaOH were used. All experiments were carried out in triplicate.

185
186 *Determination of concentrations of chemical disinfectants*

187 For the determination of chlorine levels throughout the experimental phases (re. above), the N,N-
188 diethyl-p-phenylenediamine (DPD) colorimetric 4500-G Cl method (APHA, AWWA & WEF 2017)
189 was deemed most appropriate. In the absence of iodide, the available free chlorine reacts
190 instantaneously with DPD at pH ca. 6 to generate an oxidized conjugated organic compound that
191 can be measured spectrophotometrically at 515 nm. Subsequently, iodide is added, which is
192 oxidized by chloramines into iodine. The latter reacts with the surplus of DPD, thus serving for
193 determination of combined chlorine. A linear calibration graph (Absorbance at 515 nm vs chlorine
194 concentration) with chlorine standard solutions ranging from 0.05 to 4 mg/L was used
195 throughout.

196 Isocyanuric acid concentration in the pool was estimated on the basis of a turbidimetric method
197 in which turbidity of the reaction product of isocyanuric acid with melanin is monitored (Downes
198 et al. 1984).

199 As for bromine, as is the case with free chlorine, total bromine reacts with DPD at pH 5-6 forming
200 the red-violet oxidized DPD dye (Sollo et al. 1971). This method is thus an extension of the DPD
201 method described above. The experimental data obtained by interpolation of the hypochlorite
202 calibration graph were multiplied by 2.25 based on the molecular mass ratio of bromine to
203 chlorine. It should be noted that, unlike chlorine, DPD does not react with free bromine but total

204 bromine. In any case, the combined bromine is a very good disinfectant without harmful effects.
205 Therefore, only total bromine is herein determined.

206 The concentration levels of chlorine dioxide were determined by standard recommended method
207 (APHA, AWWA & WEF 2017). It is an extension of the DPD method abovementioned. If the sample
208 is first acidified in the presence of iodide and then brought to a near-neutral pH by addition of
209 sodium hydrogen carbonate, chlorine dioxide behaves alike the total available chlorine content.
210 The experimental procedure was akin to the determination of free chlorine using normalized
211 hypochlorite standards, yet the results were multiplied by 1.9 based on the chlorine to chlorine
212 dioxide stoichiometric ratio, and molecular weights of both species.

213 The quantification of hydrogen peroxide was also relied upon the spectrophotometric DPD
214 method. Unlike sodium hypochlorite, prior activation of hydrogen peroxide with potassium
215 iodide and ammonium molybdate was called for. Molybdate catalyzes the oxidation of iodide by
216 hydrogen peroxide into iodine which is amenable to oxidizing the DPD compound. In the
217 determination of hydrogen peroxide, 150 μL of 20% (w:v) potassium iodide and 150 μL of 90 g L^{-1}
218 ¹ ammonium molybdate were added to 9 mL of probed pool water. The mixture was stirred
219 vigorously and allowed to react for 6 min. Then, 0.5 mL of 0.5 mol L^{-1}
220 dihydrogenphosphate/hydrogen phosphate buffer at pH= 7.5 followed by 0.5 mL of DPD were
221 added, and the absorbance of the mixture was measured at 515 nm (APHA, AWWA & WEF 2017).

222 223 *Preparation of inocula and contamination of the pool*

224 The microorganisms selected as model pathogens to contaminate the pool were *Escherichia coli*
225 ATCC 11775 (*E. coli*) and *Pseudomonas aeruginosa* ATCC 25668 (*P. aeruginosa*). These species
226 were selected because they are endorsed in our current legislation (Ministerio de Sanidad

227 Servicios Sociales e Igualdad. 2013). To this end, two independent inocula, one per
228 microorganism, were prepared. In both cases, 1-2 colonies of the microorganisms to be studied
229 were inoculated into 5 mL of Tryptic Soy Broth (TSB) (Sharlab, Barcelona, Spain) and incubated
230 overnight at 37 °C and 180 rpm in an orbital shaker (Unitron Plus AJ252, Infors AG, Bottmingen,
231 Switzerland). Subsequently, 250 µL of every culture were added separately to 30 mL of TSB and
232 incubated again under the same conditions for 1.5-2 hours to foster bacterial growth, and obtain
233 a nominal concentration as per the standard operational protocol of about 10^9 CFU mL⁻¹ (APHA
234 et al. 2017). The exact concentration was calculated by preparing decimal serial dilutions in
235 tryptone water (Sharlab, Barcelona, Spain) and plating onto the appropriate medium: Tryptone
236 Bile Glucuronic Agar (TBX) for *E. coli* and ceftrimide agar for *P. aeruginosa* (Sharlab, Barcelona,
237 Spain).

238 Whenever steady-state conditions were identified for the physicochemical parameters of the
239 pool (pH, temperature, redox potential) upon biocide application, 25 mL of each culture were
240 simultaneously added to the 250 L pool water to simulate faecal and environmental
241 contamination of water, thus obtaining a nominal concentration of 10^5 CFU mL⁻¹ (\log CFU mL⁻¹ =
242 5) of every individual microorganism. The real concentration added to the pool was calculated as
243 indicated above. Both inoculation and subsequent sampling steps were manually performed at
244 the central zone of the pool after water homogenization. At pre-set incubation timeframes, viz.,
245 0 s, 20 s, 40 s, 60 s, 120 s, 300 s, 600 s and 900 s, a metered volume of 100 mL water was sampled
246 in a sterile vial containing 2 mg of solid sodium thiosulfate to eliminate the oxidant (disinfectant)
247 surplus. Immediately after collection, samples were placed on ice and transported refrigerated
248 to the laboratory. To determine the bacterial concentration, 10-fold dilution aliquots were
249 prepared in 9 mL tubes of tryptone water (Sharlab, Barcelona, Spain) and 0.1 mL aliquot of every

250 tube was plated in the selective media for the analyzed microorganisms: TBX (for *E. coli*) and
251 cetrimide agar (for *P. aeruginosa*). The idea behind this procedure is to attain plates within a final
252 range of 30-300 CFU after incubation for 24 h at 37°C for proper bacterial quantification.

253 254 *Data analysis*

255 Three replicate experiments (addition of both test organisms) were performed per individual
256 biocide and pH value (7.2 or 8.0). In nature, microorganisms do not follow a normal distribution
257 because they tend to aggregate. For this reason, prior to statistical analysis the microbiological
258 counts were transformed to \log_{10} values for normalization. Mean and standard deviation values
259 were calculated for every disinfectant and time of operation.

260 Our response variable is the so-called C·t value (Hoff 1986; Korich et al. 1990), which is herein
261 defined as the product of the nominal concentration of disinfectant added to the pool (see Table
262 1) (given as mg L^{-1} or $\mu\text{mol L}^{-1}$, see Results and Discussion) by the time (in minutes) to attain a
263 given degree of disinfection at either pH 7.2 or 8.0. Conditions including 90%, 99%, 99.9%, and
264 99.99% elimination of the target microorganism (or the equivalent 1 log, 2 log, 3 log, 4 log
265 reduction, respectively) have been used in previous studies (Ding et al. 2012; Hoff 1986; Korich
266 et al. 1990; Li et al. 2017; Lim et al. 2010; Oh et al. 2014; Pak et al. 2016; Thurston-Enriquez et al.
267 2005). Our criterion was to set a 3 log reduction of the target microorganisms equating to a 99.9%
268 of elimination. Average Ct values (calculated from the values obtained in the individual
269 experiments for the same disinfectant and condition) were estimated in this work for individual
270 disinfectant agents and pH values at a given disinfectant concentration to evaluate biocide
271 efficiency and the expenses of the disinfection procedure.

272

273 RESULTS AND DISCUSSION

274 *Consumption and stabilization of the concentration of chemical disinfectants*

275 The first part of our study focused on the analysis of the consumption of biocides associated to
276 the stabilization of the levels of disinfectant in the pool. As an example, a diagrammatic
277 description of the variation of sodium hypochlorite at pH 7.2 throughout the diverse experimental
278 phases is shown in Fig. 1. As indicated above, the exploration of the biocide performance for
279 conventional and unusual disinfection products in our pilot setup was initiated by adjustment of
280 the biocide concentration to a pre-set concentration, as indicated in Table 1, at 30 ± 1 °C for pH
281 7.2 or 8.0, followed by a final pH tuning of the pool water (see Fig. 1). This preparation phase
282 lasted approximately 35-40 min. Once the target concentration was reached, organic
283 contamination of human origin was simulated by the addition of urea at the 1.2 mg L^{-1} level. After
284 the organic load and during the stabilization phase, an ancillary step of addition of the biocide
285 was in some instances deemed necessary because of either the decomposition of urea or the
286 volatility of the disinfectants, e.g., chloride dioxide. In fact, the influence of the organic load was
287 noticeable for some chlorine based biocidals, in particular, in the course of the saline electrolysis
288 experiments inasmuch as the steady value of 2 mg L^{-1} free chlorine was difficult to reach by
289 temporal on/off activation of the electrolysis setup. In all cases, once the desired concentration
290 of biocide was reached, the water pH was adjusted to either 7.2 or 8.0 and the pool water was
291 sampled and analyzed every 30 minutes during the so-called stabilization phase, which lasted
292 approximately 120 min. Whenever required, the concentration of biocide and the pH of the pool
293 were re-adjusted to initial conditions. Significant addition of biocide was deemed necessary for
294 the most volatile species (viz., chloride dioxide).

295 Altogether, this study allows us to estimate the amount of biocide needed to stabilize the
296 disinfectant levels at endorsed/recommended concentrations for the experimental pool (see
297 Table 2). Throughout these experiments, the consumption of reagents for pH stabilization is also
298 estimated for proper evaluation of the cost-efficacy of the distinct biocides as discussed in the
299 following sections.

300

301 *Investigation of the effectiveness of the disinfection products*

302 To evaluate the bactericidal efficiency of the disinfectants after the microbial contamination of
303 the pool, water aliquots were taken at different incubation times, from 20 to 900 s, followed by
304 determination of *E. coli* and *P. aeruginosa* levels after plating as explained above. The
305 percentages of removal of both bacteria for every individual biocide at pH values of 7.2 and 8.0
306 for 20, 60, 300, and 600 s are shown in Fig. 2 and Fig. 3, as no statistically significant changes were
307 observed in aliquots probed from 600 s onwards even for low-efficacy biocides, such as hydrogen
308 peroxide (see Fig. 2).

309 Chlorine dioxide averagely afforded a >3 log reduction of *E. coli* in a mere 20 s, virtually
310 instantaneously and regardless of the pH (see Fig. 2). Detection limit for *E. coli* was 5.0 ± 0.4 log
311 reduction. This range was a consequence of the variability in the actual amount of
312 microorganisms added to the pool in individual experiments. Trichloroisocyanuric acid and
313 sodium hypochlorite also afforded a >3 log reduction of *E. coli* in 20 s at pH 7.2, whereas at this
314 time and pH 8 removal ranged between 2 and 3 log. This is in good agreement with previous
315 observations by Hoff (Hoff 1986). Results also show that the removal efficiency of *E. coli* for 20 s
316 by sodium hypochlorite in combination with 75 mg L⁻¹ isocyanuric acid was lower, with a 1 log

317 reduction of the microorganism at both pH values. Similar values were obtained for the
318 electrolysis based system.

319 Regarding bromine and hydrogen peroxide the biocidal effect at both pHs decreased down to 0.6
320 and 0.1 log reduction, respectively, for a disinfection time of 20 s, in comparison with the biocidal
321 efficiencies (> 3 log elimination) of chlorine dioxide, trichloroisocyanuric acid and sodium
322 hypochlorite.

323 *P. aeruginosa* is a more resistant microorganism than *E. coli* (Sánchez-Diener et al. 2017). This is
324 consistent with our experimental results (see Fig. 3), which indicated that the removal percentage
325 for all of the disinfectants evaluated was inferior to that of *E. coli*. In this case, the detection limit
326 was 4.0 ± 0.5 log reduction. Still, similar trends were observed: trichloroisocyanuric acid, sodium
327 hypochlorite and chlorine dioxide were the most efficient disinfectants. For *P. aeruginosa*, the
328 unfavourable effects of isocyanuric acid in combination with sodium hypochlorite in terms of
329 expeditious disinfection were more evident, inasmuch as just a 0.2 log reduction was detected at
330 pH 7.2 and no biocide performance after 20 s was identified at pH 8.0. To note, bactericidal
331 effects were observed for bromine at this pH neither.

332 As expected, killing rates for *E. coli* and *P. aeruginosa* increased with time (Fig. 2 and Fig. 3). In
333 fact, all chlorine-based and unconventional disinfectants but hydrogen peroxide achieved a >3
334 log reduction of *E. coli* regardless of pH after 60 s of treatment. For *P. aeruginosa*, the removal
335 rate was still lower than for *E. coli*, remaining negligible for hydrogen peroxide at either pH.
336 Sodium hypochlorite combined with 75 mg L^{-1} isocyanuric acid afforded average removal values
337 of 0.23 and 0.01 log reduction for pH 7.2 and 8.0, respectively, for *P. aeruginosa* at 60 s. It should
338 be noted that all disinfectants but hydrogen peroxide achieved >99.9% elimination (> 3
339 logarithmic reduction) of both microorganisms for contact times from 10 min onwards.

340 To evaluate and normalize the biocide effectiveness, the C·t (thereafter called Ct) value is herein
341 used as a standard parameter. We herein propose a paradigm change in the calculation of Ct by
342 reporting the biocide concentration in $\mu\text{mol L}^{-1}$ rather than mg L^{-1} based on the stoichiometric
343 laws of the redox reactions involved (see Fig. 4). This will foster a more reliable comparison of the
344 biocidal efficiency of distinct chemical species as compared to current data. It should be however
345 born in mind that current legislation copes with the molecular weight of classical biocides, e.g.,
346 chlorine vs bromine, by endorsing twice as much as bromine concentration (in mg L^{-1}) in pools
347 than chlorine. Based on this parameter, the greater the Ct value the lower the disinfectant
348 efficiency is. For all of the chlorine-based disinfectants, Ct values at pH 8.0 were higher than those
349 at pH 7.2 for *E. coli*, on account of the superior disinfection efficiency of hypochlorous acid (pKa
350 ~ 7.5) (Fig. 4). For *P. aeruginosa* this also held true except for sodium hypochlorite combined with
351 isocyanates and chlorine dioxide, though results at both pH were quite similar.

352 As for the individual treatments, chlorine dioxide was proven the most effective biocide with Ct
353 values spanning from 0.2-0.3 (in mg min L^{-1}). Critical comparison of our Ct values (3 log decrease)
354 with those previously reported in the literature is not straightforward, because distinct
355 experimental conditions regarding temperature, pH, disinfectant concentration or percentage of
356 elimination apply. In any case, our values are on a par with the Ct value of 0.15 (in mg min L^{-1})
357 endorsed by the United States Environmental Protection Agency (USEPA) for *E. coli* (Symons et
358 al. 1981), and half as much the Ct value of 0.6 reported by Hoff (Hoff 1986), in all cases for a 2 log
359 bacterial decrease. Further, our results are notably better than the Ct value of 30 recently
360 estimated by Ofori *et al.* for the same concentration of chlorine dioxide but for a mere 1 log
361 bacterial reduction (Ofori et al. 2017). Hypochlorite is the biocide in the second place as for its
362 effectiveness, with values in our system from 0.7 to 2.2 (in mg min L^{-1}) for both microorganisms,

363 which are higher than those reported for *E. coli* by Hoff (0.05) and the USEPA (0.3) but for a 2 log
364 reduction (Hoff 1986; Symons et al. 1981), but better to those reported by Oh et al. (Oh et al.
365 2014), amounting to as much as 30 mg min L⁻¹ for 1 log reduction.

366 Among the chlorine derivatives, sodium hypochlorite was the most active biocide against *E. coli*,
367 though solid chlorinated isocyanates showed better results than liquid sodium hypochlorite when
368 combined with isocyanates, with Ct ranging from 1-2 against 2.0 (in mg min L⁻¹), respectively.
369 Electrolysis results for *E. coli* were similar to chlorinated isocyanates at pH 7.2, and even better
370 at pH 8.0, with Ct values of 1.5 and 1.3, respectively against ≤2 (in mg min L⁻¹). For *P. aeruginosa*
371 elimination, significantly superior biocide efficiencies were observed for liquid hypochlorite and
372 solid isocyanates against saline electrolysis and hypochlorite + isocyanuric acid, regardless of the
373 pH, with Ct values ranging from 1.3 to 2.2 mg min L⁻¹ for the former two biocides against Ct values
374 of 13-20mg min L⁻¹ for the latter.

375 Ct values of BCDMH were higher than those of chlorine derivatives regardless of pH conditions
376 and microorganisms, with values ranging from 3-27 in mg min L⁻¹. Strikingly, the high Ct values of
377 hydrogen peroxide for both microorganisms (> 150 mg min L⁻¹) indicated null bactericidal action
378 under the experimental conditions set in this pilot study. This behaviour was also observed in a
379 previous report (Borgmann-Straßen 2003), where a Ct value of 4500 mg min L⁻¹ was reported
380 for a mere 0.16 and 0.13 log reduction of *P. aeruginosa* and *E. coli*, respectively.

381
382 *Evaluation of costs related to the varied disinfection systems*

383 We have resorted to the average costs of products available at the Balearic Islands in 2016 for
384 calculation of the expenses of the usage of the distinct disinfectants, yet the consumption of

385 reagents throughout the stabilization phase in our experimental system was also considered (see
386 Table 2). This is in contrast to previous studies, in which estimation is merely done at different
387 concentration levels of biocides (Symons et al. 1981). Our model was translated to a realistic 500
388 m³ swimming pool with a daily 5% water renewal, i.e., 25 m³ day⁻¹. For isocyanuric acid-laden
389 biocides, the water renewal was increased to 37 m³ day⁻¹ so as to maintain isocyanuric acid
390 concentration down to 75 mg L⁻¹ according to legislation requirements (Ministerio de Sanidad
391 Servicios Sociales e Igualdad. 2013). In our calculation, the cost of € 2.52 water/m³ stands for the
392 average price at the Balearic Islands in 2016, excluding local taxes (Organización de Consumidores
393 y Usuarios 2016). The main parameters for the calculation of the biocide expenses were as
394 follows: a) disinfectant consumed to maintain the prescribed concentration of biocide for an
395 operational timeframe of the swimming pool of 12 hours, with a total number of six human-like
396 contamination (1.2 mg L⁻¹ urea), once every 2 hours; b) pH control with addition of minute
397 volumes of NaOH or HCl; c) water renewal cost; d) for electrolysis: a standard electrolyser
398 generating ca. 500 g chlorine h⁻¹ with a daily average performance of 11 h, including energy
399 consumption (4 kW at 0.08 kW h⁻¹). A saline electrolysis equipment for a 500 m³ pool might
400 amount to 30,000 € with a replacement price of electrodes equating to 1 €/operational hour. A
401 depreciation time of 10 years has been deemed appropriate for the electrolysis installation. Our
402 estimations apply to facilities for which infrastructure is already available, and only refer to
403 operational and maintenance costs (without personnel). Construction costs for adaptation of
404 facilities included in other studies (Gumerman et al. 1979), are not herein considered. Table 3
405 lists the costs associated with each of the disinfection systems evaluated according to the criteria
406 mentioned above.

407 Sodium hypochlorite was the most economically viable system for disinfecting recreational
408 waters at both pH values (7.2 and 8.0) (see Table 3). Note that the action of solar UV radiation
409 was not considered in this pilot study. Solar radiation plays a very important role in the
410 evaporation and decomposition of chlorine, which would most likely lead to increased
411 consumption of disinfectant. In terms of economic viability, trichloroisocyanuric acid and sodium
412 hypochlorite combined with isocyanuric acid were equally ranked second (see Table 3). The need
413 for water renewal increases the cost of these treatments relative to sodium hypochlorite. Saline
414 electrolysis was the most expensive treatment based on chlorine, amounting to 124 and 138
415 €/day at pH 8.0 and 7.2, respectively (see Table 3). BCDMH occupied the fourth place in price,
416 followed by hydrogen peroxide. We must emphasize that further studies combining hydrogen
417 peroxide with catalysts are needed, because of the negligible bactericidal efficiency of hydrogen
418 peroxide for both microorganisms using our conditions of biocide concentration, pH and
419 temperature, with killing efficacies down to 50% in all instances.

420 Notwithstanding its superior biocidal activity on a short notice (Ofori et al. 2017), chlorine dioxide
421 is not an economical viable option (see Table 3), when used alone in public and private pools, as
422 a consequence of the elevated expenses of the raw materials and manufacturing process along
423 with its high volatility. On the other hand, it might be deemed attractive for shock treatment in
424 emergency situations of excessive organic load in pools, or in facilities, such as summer resorts,
425 for which penalties may apply when the pool is not available to guests.

426 *Cost-effectiveness of chlorine-based and unconventional disinfection products*

427

428 The cost-effectiveness (CE) of the varied chlorine and non-chlorine based disinfection products
429 and systems assessed in this work was normalized as the product of the daily cost of biocide by

430 the Ct value ($CE = \text{€} \cdot Ct$). Values were estimated against each of the pathogenic microorganisms
431 for a 3 log reduction at both pH values (Fig. 5). Regardless of pH, the most cost-effective
432 disinfectant agent was sodium hypochlorite whereas dioxide chloride was again deemed
433 impracticable with CE values > 1000 against CE values < 200 for hypochlorite. The experimental
434 results revealed that the pH did yield to a significant variation of CE for *E. coli* for all of assayed
435 biocide agents, the lower the pH the better the CE value was. Increased consumption of
436 disinfectants at pH 8.0 in chlorine-based treatments was however partially offset by the need of
437 increasing the amount of HCl to maintain pH at 7.2 in alkaline waters as those used in this study
438 (pH tap water= 8.1) due to the presence of limestone, which causes carbonation of water
439 aquifers. On the contrary, there was not a dependence of pH upon CE for *P. aeruginosa*, yet a 2-
440 8 fold increase in CE values were observed for the overall suite of biocide agents against *E. coli*,
441 except for the chlorine dioxide where the CE values for both microorganisms were virtually
442 identical due to the superior bactericidal power of this biocide.

443

444 **CONCLUSIONS**

445 The main findings of the research conducted within the framework of this study are summarized
446 as follows:

- 447 • The lowest Ct, namely, the higher disinfection efficiency, was obtained for chlorine
448 dioxide, without significant biocidal differences for either *E. coli* or *P. aeruginosa*,
449 regardless of the poorer inherent susceptibility of the latter to biocides. However, it is
450 advisable to use ClO_2 alongside other disinfectants because the elevated manufacturing
451 costs and high CE values make its use as a sole biocide in pools impracticable. Chlorine

452 dioxide is however deemed appropriate in shock treatments for emergency scenarios.

453 Due to its lower redox potential compared to chlorine-laden biocides, no chloramines or

454 by-products potentially harmful to human health are generated.

455 • The most affordable disinfectant agent in our pilot setup was sodium hypochlorite, though
456 degradation by UV radiation was not contemplated in the experimental settings.

457 • The price difference was negligible for pool disinfection with trichloroisocyanuric acid
458 (solid) against hypochlorite combined with isocyanuric acid at the level of 75 mg L⁻¹.

459 • The lowest Ct for all of the chlorine and bromine-containing disinfectants was obtained at
460 pH 7.2.

461 • Hydrogen peroxide was not effective as a biocide under the experimental conditions
462 assayed.

463 • Biocide and microorganism dispersion and pool dynamics are more complex than those
464 simulated in bench analysis. Our model is thus more representative of real-life scenarios
465 than those carried out in test tubes.

466 • A recommendation is given to report Ct of novel chemical biocides as concentration in
467 $\mu\text{mol L}^{-1}$ times time rather than the usage of the conventional mg L⁻¹ times time units.

468

469

470 **DATA AVAILABILITY**

471 All data, models, or code generated or used during the study are available from the corresponding
472 author by request.

473

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479

480 **DISCLAIMER**

481 The authors declare that they have no conflict of interest.

482

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598

599

600 **Figure Captions**

601 **Fig. 1** Time-course variation of the concentration of sodium hypochlorite at pH 7.2

602 **Fig. 2** Biocidal effect (logarithmic reduction) of *Escherichia coli* by the action of different
603 disinfection systems at pH 7.2 and 8.0. TRI, trichloroisocyanuric acid; HYP, sodium hypochlorite;
604 HYP + CYA, sodium hypochlorite combined with isocyanuric acid; ELEC, saline electrolysis; ClO₂,
605 chlorine dioxide; BR, BCDMH; H₂O₂, hydrogen peroxide. Red line stands for 3 log reduction.
606 Grey bar indicates the range of detection limits.

607

608 **Fig. 3** Biocidal effect (logarithmic reduction) of *Pseudomonas aeruginosa* by the action of
609 different disinfection systems at pH 7.2 and 8.0. Red line stands for 3 log reduction. Grey bar
610 indicates the range of detection limits.

611

612 **Fig. 4** Average Ct values (A) (mg min L⁻¹) and (B) (μmol min L⁻¹) of the disinfectants tested against
613 *Escherichia coli* and *Pseudomonas aeruginosa*.

614

615 **Fig. 5** Cost-effectiveness analysis of chlorine-based and unconventional disinfectants.

616

617

618 **Table 1** Disinfection systems and nominal concentrations of biocides used in this study

System	Concentration	Supplier
Trichloroisocyanuric acid (TRI)	2 mg L ⁻¹ free chlorine	CTX-300 ClorLent, CTX Professional, Barcelona, Spain
Sodium hypochlorite	2 mg L ⁻¹ free chlorine	Commercial solution (37% (w/w), Jabones Puig, Palma, Spain
Sodium hypochlorite + isocyanuric acid (CYA)	2 mg L ⁻¹ free chlorine + 75 mg L ⁻¹ CYA	Commercial solution, Jabones Puig; CTX-400, CTX professional
Saline electrolysis	2 mg L ⁻¹ free chlorine	Salt: Jabones Puig
Chlorine dioxide	0.5 mg L ⁻¹ ClO ₂	Dioxpure (0.75% chloride dioxide), purity of 99.9%, Eminfor S.L, Barcelona, Spain
BCDMH	4 mg L ⁻¹ bromine	CTX-130, CTX professional, Spain
Hydrogen peroxide	10 mg L ⁻¹ H ₂ O ₂	Commercial solution (50% (v:v) hydrogen peroxide), Jabones Puig

619

620 **Table 2** Biocide consumption in the course of the several phases of the experimental procedure

pH	Phase	Disinfection system ^a						
		TRI	HYP	HYP + CYA	ELEC	ClO ₂	BR	H ₂ O ₂
7.2	Preparation ^b	0.9 ± 0.3 (g)	19 ± 1 (mL)	17.5 ± 0.9 (mL HYP) 18.9 ± 0.06 (g CYA)	1250 (g salt)	85 ± 7 (mL)	2.6 ± 0.2 (g)	5.7 ± 0.1 (mL)
	Stabilization /h	0.044 ± 0.004 (g)	1.47 ± 0.03(mL)	0.5 ± 0.1 (mL HYP)	-----	52.5 ± 3.5(mL)	0.065 ± 0.002 (g)	0.25± 0.05(mL)
8.0	Preparation ^b	0.74 ± 0.08 (g)	17.7 ± 0.2 (mL)	19 ± 4 (mL HYP) 18.90 ± 0.01 (g CYA)	1250 (g salt)	91 ± 13 (mL)	2.4 ± 0.1 (g)	5.7 ± 0.2 (mL)
	Stabilization/h	0.06 ± 0.03 (g)	1.1 ± 0.4 (mL)	0.6 ± 0.2 (mL HYP)	-----	46.2 ± 1.7(mL)	0.20 ± 0.01 (g)	0.40± 0.05(mL)

621 ^a TRI, trichloroisocyanuric acid; HYP, sodium hypochlorite; HYP + CYA, sodium hypochlorite combined with isocyanuric acid; ELEC, saline
 622 electrolysis; ClO₂, chlorine dioxide; BR, BCDMH; H₂O₂, hydrogen peroxide

623 ^b Replicate experimental values (n=3) to obtain the prescribed disinfection level

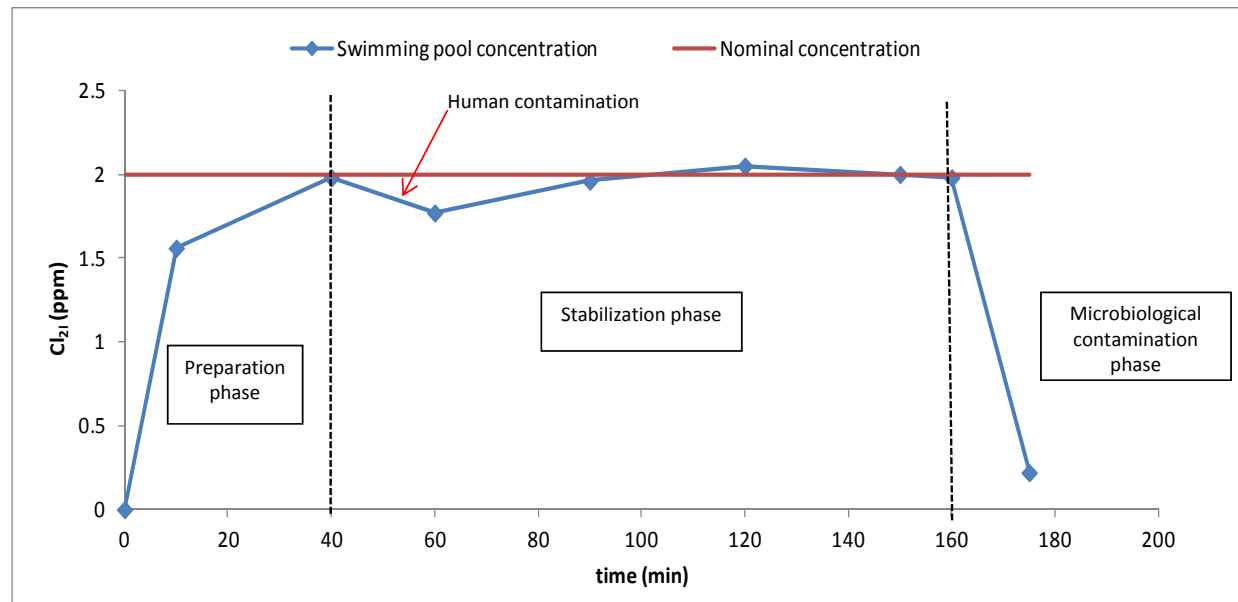
624 **Table 3** Daily cost (€) for the usage of chemical disinfection systems evaluated in this pilot study at
 625 pH 7.2 and 8.0

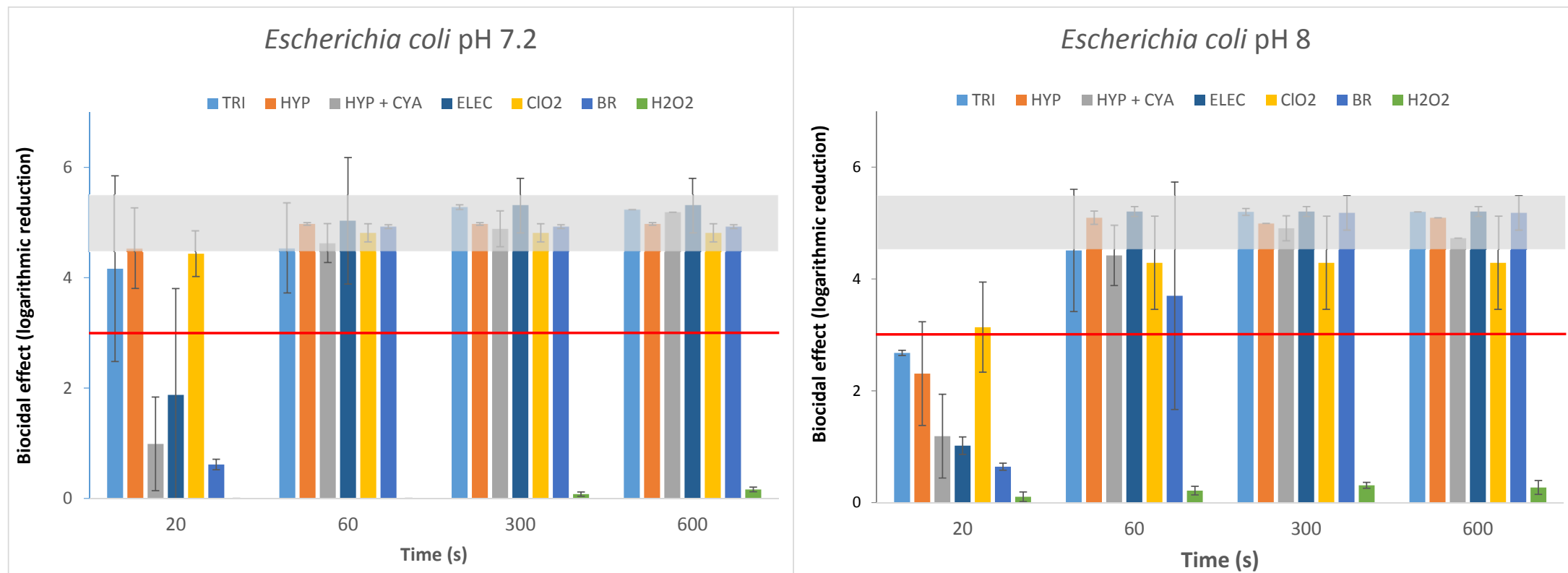
pH	Concept	Disinfection system ^a						
		TRI	HYP	HYP + CYA	ELEC	ClO ₂	BR	H ₂ O ₂
7.2	Chemicals	8.4	9.5	6.5	39.2	5,720	55.4	66.4
	Equipment	-	-	-	17.2	-	-	-
	Power supply	-	-	-	2.9	-	-	-
	pH control	11.6	11.5	13.6	15.9	9.9	17.7	18.8
	Water renewal	93.2	63.0	93.2	63.0	63.0	63.0	63.0
	Daily cost	113.3	83.9	113.3	138.2	5,793	136.1	148.2
8.0	Chemicals	9.3	7.9	8.6	39.2	5,171	80.0	80.8
	Equipment	-	-	-	17.2	-	-	-
	Power supply	-	-	-	2.9	-	-	-
	pH control	2.3	2.5	10.0	1.6	3.34	1.62	4.21
	Water renewal	93.2	63.0	93.2	63.0	63.0	63.0	63.0
	Daily cost	104.8	73.4	111.9	123.9	5237	144.6	148.0

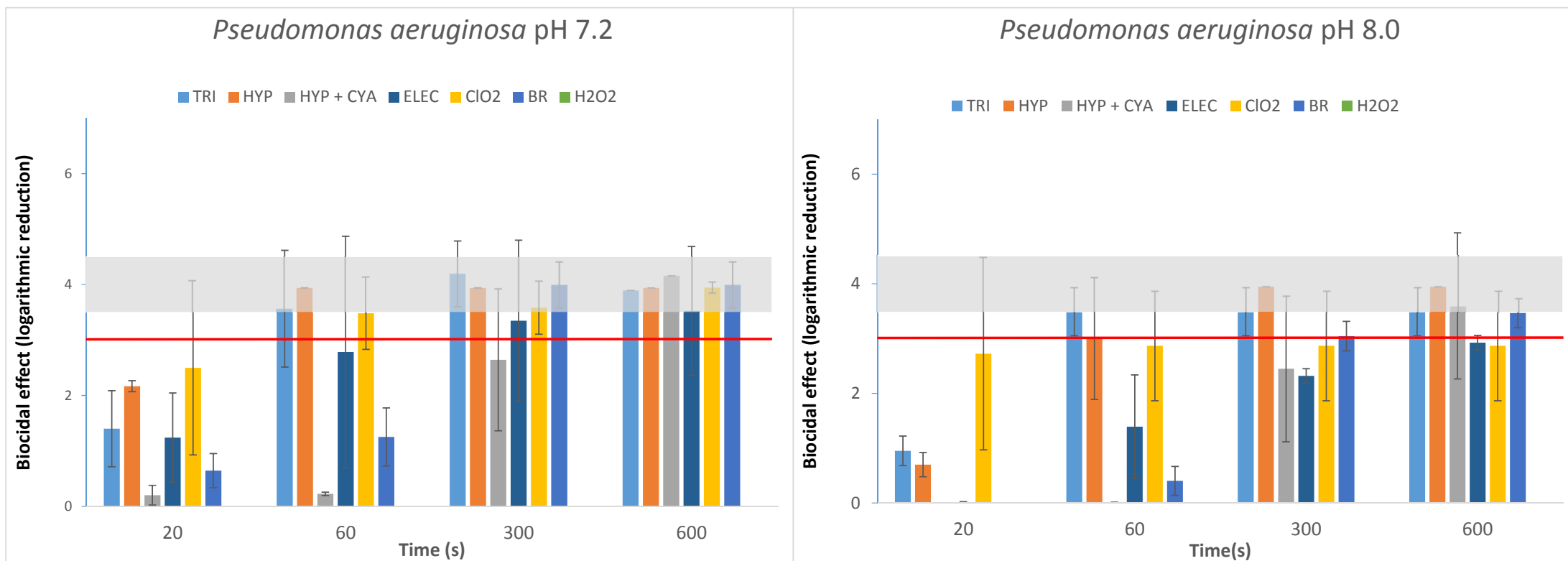
626 ^a TRI, trichloroisocyanuric acid; HYP, sodium hypochlorite; HYP + CYA, sodium hypochlorite combined
 627 with isocyanuric acid; ELEC, saline electrolysis; ClO₂, chlorine dioxide; BR, BCDMH; H₂O₂, hydrogen
 628 peroxide

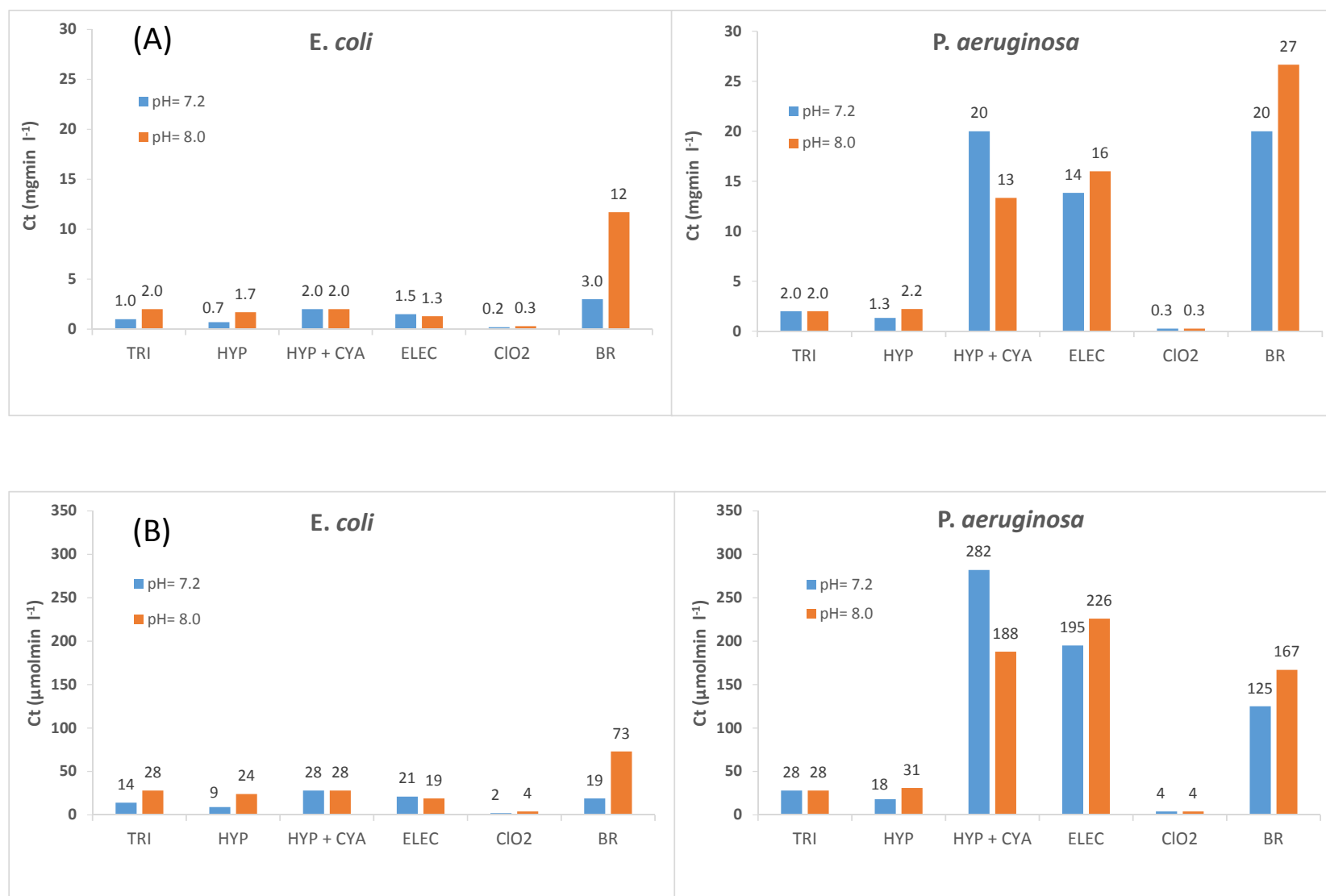
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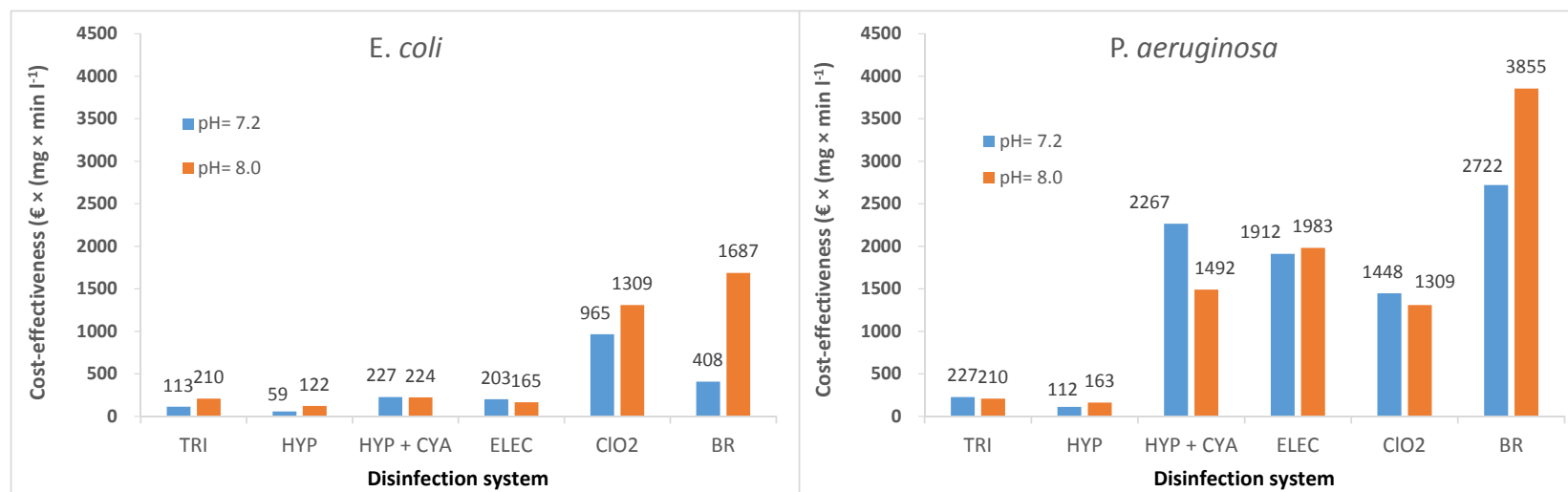
Figure 1











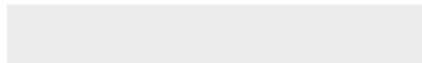


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Answers to Reviewers' comments:

Reviewer #2

The literature review presented in the introduction clearly demonstrate the advantages and disadvantages of the various disinfectants. Therefore, to highlight the novelty of this manuscript, authors should clearly indicate the gap in knowledge/research and how this study contributes to filling this gap. Also a clear statement of the research objectives should be given

Answer: The last part of the introduction has been rewritten to highlight the novelty of the manuscript (i.e., the development of a more realistic experimental model), as well as the description of the research objectives. Both are clearly differentiated in two separated paragraphs across the revised version.

Lines 123-137:

"It is important to stress the fact that previous works dealing with the comparative evaluation of biocides in recreational waters only used artificial pool water with test assays in a 0.35-1.0-L beaker format under magnetic agitation (Borgmann-Strahsen 2003; Korich et al. 1990). However, biocide and microorganism dispersion and pool dynamics are more complex than those simulated by bench analysis. Therefore, extrapolation to real situations is debatable. To solve this gap, in the present work we have used a more realistic approach. Our experimental model includes a 250-L indoor pool basin with a pumping system for water recirculation and real-time monitoring of pH, temperature and redox potential. To our knowledge, this is the first time this model has been exploited, and is clearly more representative of real-life scenarios than those carried out in test tubes.

The aim of this work is to present a novel approach for in-depth investigation of the biocidal activity and the cost-effectiveness of a number of unconventional disinfection systems (namely, chlorine dioxide, hydrogen peroxide, BCDMH) in recreational waters without harmful by-product formation against conventional chlorine-laden products (hypochlorite, hypochlorite + isocyanate, tri-chloro and saline electrolysis). "

Reviewer #3

In this study, the biocidal efficacy of 7 different disinfection products in recreational waters, including 3 unconventional and 4 conventional products, were compared based on the CT values and the cost. An experimental 250-L indoor pool basin with a pumping system for water recirculation and real-time monitoring of pH, temperature and redox potential.

Compared with previous work, which used 0.35-1.0 L beaker to evaluate the performance of disinfection products in recreational water. However, disinfection products in this study were analyzed in a much larger and more realistic scale, which is one of the highlights of this study. It made the experimental results closer to the results in the full-scale swimming pool. Also, 7 disinfectants were investigated in sum, which is quite unusual.

The description of the experimental methods and the investigation of the 7 different disinfectants are detailed and clear enough

Answer: We greatly appreciate the comments from Reviewer #3, and the recognition of the advantages from our new experimental model and his/her recommendation for publication of the submission as it is.



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